### Edgar Filing: DRAGON PHARMACEUTICALS INC - Form 3

#### DRAGON PHARMACEUTICALS INC

Form 3

January 18, 2005

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement DRAGON PHARMACEUTICALS INC [DRUG] Han Yanlin (Month/Day/Year) 01/12/2005 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O DRAGON (Check all applicable) **PHARMACEUTICAL** INC.. 1055 WEST HASTINGS. 10% Owner \_X\_\_ Director **SUITE 1900** \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Chief Executive Officer Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person VANCOUVER, A1Â V6E 2E9 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Common Stock 31,151,403 (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security
2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect

(Instr. 4) Expiration Date Expiration Date (Month/Day/Year) Derivative Security Or Exercise Form of (Instr. 5)

### Edgar Filing: DRAGON PHARMACEUTICALS INC - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Additional Dragon Closing Shares (2)	(2)	(2)	Common Stock	2,997,682	\$ 0	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Han Yanlin C/O DRAGON PHARMACEUTICAL INC. 1055 WEST HASTINGS, SUITE 1900 VANCOUVER Â A1Â V6E 2E9	ÂX	Â	Chief Executive Officer	Â	

## **Signatures**

Yanlin Han by Maggie Deng pursuant to a power of attorney 01/18/2005

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 18,573,384 shares are held in escrow to be released in two installments on the first and second anniversary dates from January 12, 2005,
- (1) provided there are no claims made by the Issuer against Reporting Person in connection with the Oriental Wave Share Purchase Agreement.
  - Under the terms of the June 11, 2004 Share Purchase Agreement, Mr. Han received Additional Closing Dragon Shares in order to maintain his pro rata interest in the Company in the event certain options and warrants outstanding as of the closing date are subsequently
- (2) exercised. The Additional Closing Dragon Shares may be issued or cancelled depending on whether or not such outstanding options or warrants are exercised or cancelled. Such Additional Closing Dragon Shares are held in escrow and are not assumed outstanding until released from escrow.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2