Edgar Filing: PLUG POWER INC - Form 4

PLUG POW Form 4	VER INC									
November (05, 2013									
FORM	ЛЛ	STATES	SECUI	RITIES A	AND EX	CHANGE	COMMISSIO		PPROVAL	
CONVIA UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								Number:	3235-0287 January 31	
Check the check	aar									l, 5
In the folger STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP subject to Section 16. Section 16. SECURITIES Form 4 or Filed pursuant to Section 16(a) of the Securities Exchange Act of 193								Estimated burden hoi response	average urs per	
obligation may cor <i>See</i> Instr 1(b).	ons Section 17((a) of the H	Public U	tility Hol	ding Cor		of 1935 or Secti			
(Print or Type	Responses)									
1. Name and A Kenausis G	Person [*]	2. Issuer Name and Ticker or Trading Symbol PLUG POWER INC [PLUG]			5. Relationship of Reporting Person(s) to Issuer					
(Last)	Middle)			-	00]	(Check all applicable)				
(Last) C/O PLUG ALBANY	68	3. Date of Earliest Transaction (Month/Day/Year) 10/23/2013			X_ Director 10% Owner Officer (give title Other (specify below) below)					
LATHAM,	4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 						
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	Person	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8) Code V	4. Securit nAcquired Disposed (Instr. 3, 4)	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Re	port on a separate line	e for each cla	ass of seci	urities bene	ficially ow	ned directly o	or indirectly.			
					Perso inform requir	ns who res nation cont red to respo ivs a curre	spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
								_		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	or Dispos (D)	(Instr. 3, 4,				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock (1) (2)	\$ 0.57	10/23/2013		A	65,000		10/23/2014	10/23/2023	Common Stock	65,000

Reporting Owners

Relationships							
Director	10% Owner	Officer	Other				
Х							
y	11/05/2	013					
	2100001	Director 10% Owner	Director 10% Owner Officer X y 11/05/2013				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Awarded pursuant to Plug Power Inc.'s 2011 Non-Director Compensation Plan
- (2) See Exhibit 24: Limited Power Of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.