#### Edgar Filing: PLUG POWER INC - Form 4

| PLUG POWE<br>Form 4   | ER INC  |   |                                |                            |                  |   |  |  |   |  |  |
|---|---|---|--------------------------------|----------------------------|------------------|---|--|--|---|--|--|
| July 29, 2014   |   |   |                                |                            |                  |   |  |  |   |  |  |
| FORM  | 4   |   |                                |                            |                  |   |  |  | PPROVAL   |  |  |
|   | UNITED  | STATES  |                                | N OMB<br>Number:           | 3235-0287        |   |  |  |   |  |  |
| Check this<br>if no longe<br>subject to<br>Section 16<br>Form 4 or<br>Form 5<br>obligations<br>may contin<br><i>See</i> Instruct<br>1(b). | Filed pur<br>Section 17(                                | Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |                                |                            |                  |   |  |  |   |  |  |
| (Print or Type Re   | esponses)   |   |                                |                            |                  |   |  |  |   |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Hansen Erik J   |   |   | Symbol                         | er Name <b>an</b><br>POWER |                  | -   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                                      |  |   |  |  |
| (Last)  | (Last) (First) (Middle) 3. Date of Earliest Transaction |   |                                |                            |                  |   | (Check an applicable)  |  |   |  |  |
| C/O PLUG POWER INC., 968<br>ALBANY SHAKER ROAD  |   |   | (Month/Day/Year)<br>07/24/2014 |                            |                  |   | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>VP of Sales                         |  |   |  |  |
| (Street) 4. If Amendment, Date Original<br>Filed(Month/Day/Year)  |   |   |                                |                            | 1                | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |  |   |  |  |
|   | 1 12210   |   |                                |                            |                  |   | Person   |  |   |  |  |
| (City)  | (State)   | (Zip)   | Tab                            | ole I - Non-J              | Derivative       | Securities A  | cquired, Disposed  | of, or Beneficia   | lly Owned   |  |  |
|   | 2. Transaction Date<br>Month/Day/Year)                  | 2A. Deem<br>Execution<br>any<br>(Month/Da   | Date, if                       | Code                       |                  | (A) or<br>of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Reminder: Repo  | rt on a separate line                                   | for each cl   | ass of sec                     | urities bene               | -                | -   | or indirectly.   | otion of   | NEC 1474  |  |  |
|   |   |   |                                |                            | inforn<br>requir | nation cont<br>ed to response<br>ays a curre  | ained in this forn<br>and unless the fo<br>ntly valid OMB co   | n are not<br>rm  | SEC 1474<br>(9-02)  |  |  |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|-------------|--------------|-------------------------|------------------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orDerivative | Expiration Date         | Underlying Securities  |
| Security    | or Exercise |                     | any                | Code        | Securities   | (Month/Day/Year)        | (Instr. 3 and 4)       |

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| (Instr. 3)                               | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. | 8) | Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) |     |                     |                    |                 |                                |
|--|------------------------------------|------------|------------------|---------|----|---|-----|---------------------|--------------------|-----------------|--------------------------------|
|  |                                    |            |                  | Code    | v  | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount o<br>Number o<br>Shares |
| Option to<br>Purchase<br>Common<br>Stock | \$ 5.39                            | 07/24/2014 |                  | А       |    | 100,000<br>(1)  |     | (2)                 | 07/24/2024         | Common<br>Stock | 100,000                        |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |             |       |  |  |  |  |
|--|---------------|-----------|-------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer     | Other |  |  |  |  |
| Hansen Erik J<br>C/O PLUG POWER INC.<br>968 ALBANY SHAKER ROAD<br>LATHAM, NY 12210 |               |           | VP of Sales |       |  |  |  |  |
| Signatures   |               |           |             |       |  |  |  |  |

# Signatures

/s/ Erik Hansen 07/29/2014 <u>\*\*</u>Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Awarded pursuant to Plug Power's 2011 Stock Option and Incentive Plan,

(2) 33,334 options vest on July 24, 2015, 33,333 options vest on July 24, 2016 and 33,333 options vest on July 24, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.