

INTEVAC INC
Form 5
February 11, 2010

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
POND NORMAN H

(Last) (First) (Middle)

3560 BASSETT STREET

(Street)

SANTA CLARA, CA 95054

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
INTEVAC INC [IVAC]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2009

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chairman of the Board

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | Amount (A) or (D) | Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|-------------------|---------|--|--|---|
| Common Stock | 05/06/2009 | Â | G | 150,000 A | \$ 0 | 946,985 | I | by Trust ⁽¹⁾ | |
| Common Stock | 05/06/2009 | Â | G | 150,000 D | \$ 0 | 796,985 | I | by Trust ⁽¹⁾ | |
| Common Stock | 05/06/2009 | Â | G | 150,000 A | \$ 0 | 946,985 | I | by Trust ⁽¹⁾ | |
| Common Stock | 05/06/2009 | Â | G | 150,000 D | \$ 0 | 796,985 | I | by Trust ⁽¹⁾ | |

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| | | | | | | | | | |
|--------------|---|---|---|---|---|---|--------|---|--------------------|
| Common Stock | Â | Â | Â | Â | Â | Â | 750 | D | Â |
| Common Stock | Â | Â | Â | Â | Â | Â | 38,144 | I | by Partnership (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. of D Se B O E Is Fi (I |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---------------------------|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---------------------------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| POND NORMAN H 3560 BASSETT STREET SANTA CLARA, CA 95054 | Â X | Â | Â Chairman of the Board | Â |

Signatures

By: Kevin Soulsby For: Norman Pond
Date: 02/11/2010

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 474,628 shares held by the Norman Hugh Pond and Natalie Pond Trust DTD 12/23/90, 22,357 shares held by the Pond 1996 Charitable Remainder Unitrust DTD 10/15/96, 150,000 shares held by the Norman H Pond Annuity Trust DTD 04/03/09 and 150,000 shares held by the Natalie Pond Annuity Trust DTD 04/03/09.

(2) Shares are held in the name of the Pond Family Partnership, L.P., a Partnership. Norman Pond is the General Partner of the Partnership.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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