### ABBATECOLA VINCENT P

Form 4

November 15, 2017

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

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may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* ABBATECOLA VINCENT P

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

**HUDSON TECHNOLOGIES INC** 

/NY [HDSN]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 11/13/2017

\_X\_\_ Director 10% Owner Other (specify Officer (give title

PO BOX 1541, ONE BLUE HILL **PLAZA** 

(Street)

(State)

(Zip)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I Non Derivative Securities Acquired Disposed of an Deneficially Ov

PEARL RIVER, NY 10965

(City)

(- '5)	(******)	1 able 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired			5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	de (Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)			Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)
					(4)		Reported		
					(A)		Transaction(s)		
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	11/13/2017		M	40,000	A	\$ 0.85	264,721 <u>(1)</u>	D (2)	
Common Stock	11/13/2017		F	5,502 (4)	D	\$ 6.18 (3)	259,219 (1)	D (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Dis (D) (Instr. 3, 4	Acquired sposed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Stock Option (Right to buy)	\$ 0.85	11/13/2017		M		40,000	11/20/2007	11/20/2017	Common Stock	40,
Common Stock	\$ 6.18	11/13/2017		A	18,018		11/13/2017	11/13/2020	Common Stock	18,

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>r-</b>	Director	10% Owner	Officer	Other		
ABBATECOLA VINCENT P PO BOX 1541 ONE BLUE HILL PLAZA PEARL RIVER, NY 10965	X					
PEARL RIVER, NY 10903						

## **Signatures**

Vincent P

Abbatecola 11/15/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 150,973 shares which may be purchased pursuant to stock options, and includes 50,882 shares held by spouse.
- (2) Includes 50,882 shares held by spouse.
- (3) Closing Stock price on 11/13/2017
- (4) No shares were sold on the open market. Pursuant to provisions of the Company's Stock Incentive Plan, shares from the option exercise were applied by the Company to cover the purchase price upon exercise of the options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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