

Edgar Filing: AW FIELDS ACQUISITION LLC - Form 3

AW FIELDS ACQUISITION LLC

Form 3

July 30, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

1. Name and Address of Reporting Person AW Fields Acquisition, LLC, 1330 Avenue of the Americas, 36th Floor, New York, New York 10036

2. Date of Event Requiring Statement (Month/Day/Year) June 11, 2002

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

FIELDS TECHNOLOGIES, Inc.

FLDT

5. Relationship of Reporting Person(s) to Issuer (Check all applicable) ( ) Director (X) 10% Owner ( ) Officer (give title below) ( ) Other (specify below)

6. If Amendment, Date of Original (Month/Day/Year)

7. Individual or Joint/Group Filing (Check Applicable Line) (X) Form filed by One Reporting Person ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security | 2. Amount of Securities Beneficially Owned | 3. Ownership Form: Direct (D) or Indirect (I) | 4. Nature of Beneficial |
|----------------------|--|---|-------------------------|
| Common Stock         | 11,666,667                                 | D   |                         |

Table II -- Derivative Securities Beneficially Owned

| 1. Title of Derivative Security  | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Underlying Securities | 4. Conversion or Exercise price of derivative Security | 5. Ownership Form of Derivative Security (Direct or Indirect) |
|----------------------------------|--|--|--|---|
| Warrant to Purchase Common Stock | 6/11/02   3/27/05  | Common Stock                                 | \$0.1725   | D   |

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SIGNATURE OF REPORTING PERSON

AW FIELDS ACQUISITION, LLC

By: AWEE II, LLC, its Class 1 Member

By: ANDERSEN, WEINROTH & CO., L.P.,  
its Class 1 Member

By: A.W. & CO. GP INC.,  
its General Partner

By: /s/ Stephen D. Weinroth  
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Name: Stephen D. Weinroth  
Title: President

DATE

June 12, 2002

End of Filing