#### Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

#### AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4

January 03, 2014

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average

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response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BELLANTI JOHN J** 

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

AMERICAN AXLE & MANUFACTURING HOLDINGS

(Check all applicable)

Executive VP-Worldwide Op.

(Last)

(First) (Middle) INC [AXL] 3. Date of Earliest Transaction

Director 10% Owner Other (specify X\_ Officer (give title below)

(Month/Day/Year)

01/01/2014

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

4. If Amendment, Date Original

(Instr. 8)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

DETROIT, MI 48211-1198

ONE DAUCH DRIVE

(Zip) (State) (City) 2. Transaction Date 2A. Deemed

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year) Execution Date, if

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Disposed of (D) Code

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

Reported (A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

(Instr. 3, 4 and 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

4 5 TransactionNumber

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of

8. Price of 9. Nu Derivative Deriv

1

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Security	or Exercise	any	Code	of		(Month/Day	/Year)	Under	lying	Security	Secui
(Instr. 3)	Price of	(Month/Day/Year)	(Instr. 8	) Deri	vativ	e		Securi	ties	(Instr. 5)	Bene
	Derivative	•		Seci	ırities	3		(Instr.	3 and 4)		Owne
	Security			Acq	uired						Follo
	•			(A)							Repo
					osed						Trans
				of (I							(Instr
				(Ins	1						(IIIStI
				,	nd 5)						
				τ, αι	IG 5)						
			Code	V (A)	(D)	Date	Expiration	Title	Amount		
						Exercisable	Date		or		
									Number		
									of		
									Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BELLANTI JOHN J ONE DAUCH DRIVE DETROIT, MI 48211-1198

Executive VP-Worldwide Op.

# **Signatures**

Laura L. Douglas, Attorney-in-fact 01/03/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. eft> Number Owner-5. Title and Deriv- of 2. Number of Amount ative Deriv- 11. 7. of ship Conver- Derivative 6. of Underlying Secur- ative Nature sion Securities Date Securities ities Secur- of or Acquired (A) Exercisable and (Instr. 3 and 4) 8. Bene- ity: In- Exercise or Disposed Expiration Date Price ficially Direct direct 1. Price 4. of (D) (mm/dd/yy) Amount Owned (D) or Bene- Title of of 3. Trans- (Instr. 3, 4 or Deriv- at End In- ficial Derivative Deriv- Trans- action and 5) Date Expira-Number ative of direct Owner- Security ative action Code Excerof Security Year (I) ship(Instr. 3) Security mm/dd/yy(Instr. 8 Share(Instr. 5)(Instr. 4)(Instr. 4)(Instr. 4) (A) (D) cisable Date Title

FactSet Research 92,500 D Systems Inc. Stock Options

Reporting Owners 2

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Signature of Reporting Person

Date: October 15, 2002 /s/ Townsend Thomas

Townsend Thomas

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).