

UNITED TECHNOLOGIES CORP /DE/
Form 3/A
January 18, 2008

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|--|---|---|---|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Bowler J Thomas JR</p> <p>(Last) (First) (Middle)</p> <p>UNITED TECHNOLOGIES CORPORATION,Â ONE FINANCIAL PLAZA</p> <p>(Street)</p> <p>HARTFORD,Â CTÂ 06101</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>11/01/2007</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>UNITED TECHNOLOGIES CORP /DE/ [UTX]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) SVP, Human Resources and Org.</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> <p>11/09/2007</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|--|---|---|---|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 8,399.4631 ⁽¹⁾ | I | By Savings Plan Trustee |
| Common Stock (Career Restricted) | 2,640 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership |
|---|---|--|---------------------------|----------------------|--|
|---|---|--|---------------------------|----------------------|--|

| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|---|------------------|-----------------|---------------------|----------------------------|------------------------------|--|------------|
| Non-Qualified Stock Option (right to buy) | 01/03/2003 | 01/02/2010 | Common Stock | 26,600 | \$ 31.25 | D | Â |
| Non-Qualified Stock Option (right to buy) | 01/02/2004 | 01/01/2011 | Common Stock | 33,200 | \$ 37.625 | D | Â |
| Non-Qualified Stock Option (right to buy) | 04/26/2004 | 04/25/2011 | Common Stock | 100,000 | \$ 38.5 | D | Â |
| Non-Qualified Stock Option (right to buy) | 01/02/2005 | 01/01/2012 | Common Stock | 45,000 | \$ 32.17 | D | Â |
| Non-Qualified Stock Option (right to buy) | 01/02/2006 | 01/01/2013 | Common Stock | 50,800 | \$ 31.705 | D | Â |
| Non-Qualified Stock Option (right to buy) | 01/09/2007 | 01/08/2014 | Common Stock | 40,000 | \$ 46.76 | D | Â |
| Non-Qualified Stock Option (right to buy) | 01/03/2008 | 01/02/2015 | Common Stock | 38,000 | \$ 51.5 | D | Â |
| Stock Appreciation Right | 01/03/2009 | 01/02/2016 | Common Stock | 25,500 | \$ 56.53 | D ⁽²⁾ | Â |
| Stock Appreciation Right | 01/03/2010 | 01/02/2017 | Common Stock | 28,000 | \$ 62.81 | D ⁽³⁾ | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Bowler J Thomas JR UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101 | Â | Â | Â SVP, Human Resources and Org. | Â |

Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact 01/18/2008

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The initial Form 3 inadvertently overstated indirect holdings by the Savings Plan Trustee by 2,743.0293 shares.
 - (2) The reporting person was also awarded 7,800 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 3/A

UTC's earnings per share and total shareholder return over a three year performance period.

- The reporting person was also awarded 7,500 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a
- (3) value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.