MOHR MARSHALL

Form 4

February 21, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * MOHR MARSHALL | | | 2. Issuer Name and Ticker or Trading Symbol INTUITIVE SURGICAL INC [ISRG] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | |
|---|----------|----------|--|---|--|--|
| (Last) 1020 KIFER | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 02/19/2019 | Director 10% Owner _X Officer (give title Other (specify below) Executive VP & CFO | | |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | |
| SUNNYVALE, CA 94086 | | | | Form filed by More than One Reporting Person | | |

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| · | Table 1 - Non-Derivative Securities Acquired, Disposed of, or Deficically Owned | | | | | | | | | | |
|--------------------------------------|---|---|-----------------------|--------|------------------|---|---|--|------------|--|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) | | |)) | 5. Amount of Securities Beneficially Owned | Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership | | | |
| | | | Code V | Amount | (A) or (D) | Price | Following Reported Transaction(s) (Instr. 3 and 4) | (Instr. 4) | (Instr. 4) | | |
| Common Stock | 02/19/2019 | | $M_{\underline{(1)}}$ | 2,112 | A | \$0 | 12,656 | D | | | |
| Common Stock | 02/19/2019 | | F(1) | 1,048 | D | \$0 | 11,608 | D | | | |
| Common Stock | 02/19/2019 | | M(2) | 1,875 | A | \$0 | 13,483 | D | | | |
| Common Stock | 02/19/2019 | | F(2) | 930 | D | \$0 | 12,553 | D | | | |
| Common Stock | | | | | | | 726 | I | by Son | | |

Edgar Filing: MOHR MARSHALL - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | Transaction Derivative Code Securities | | ative Expiration Date s (Month/Day/Year) d | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|--|-----|--|--------------------|---|--|
| | | | | Code V | (A) (I | D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units | \$ 0 | 02/19/2019 | | M | 2,1 | 112 | (3) | 02/17/2018 | Common Stock | 2,112 |
| Restricted Stock Units | \$ 0 | 02/19/2019 | | M | 1,8 | 875 | <u>(3)</u> | 02/17/2020 | Common Stock | 1,875 |

Reporting Owners

| Reporting Owner Name / Address | | R | elationships | |
|--------------------------------|----|------|--------------|--|
| | ъ. | 1000 | 0.00 | |

Director 10% Owner Officer Other

MOHR MARSHALL

1020 KIFER ROAD

SUNNYVALE, CA 94086

Executive
VP & CFO

Signatures

By: Lori Serrano For: Marshall L

Mohr 02/21/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On 2/17/15, RSU shares were granted, vesting 25% per year over a four year period. RSUs convert into common stock on the vest date on (1) a one-for-one basis. On 2/17/19, 25% of the shares were released and a portion of the shares were held back to cover the statutory tax withholding requirement. The net shares were deposited into the holders account.

Reporting Owners 2

Edgar Filing: MOHR MARSHALL - Form 4

- On 2/16/16, RSU shares were granted, vesting 25% per year over a four year period. RSUs convert into common stock on the vest date on (2) a one-for-one basis. On 2/16/19, 25% of the shares were released and a portion of the shares were held back to cover the statutory tax withholding requirement. The net shares were deposited into the holders account.
- Each Restricted Stock Unit, granted pursuant to the 2010 Employee Stock Option Plan, represents a contingent right to receive one share (3) of Intuitive Surgical common stock. The grant vests 25% on the first anniversary of the date of grant and annually thereafter, over a four year period of time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.