EAST WEST BANCORP INC
Form 3
March 03, 2016

# FORM 3

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
**Washington, D.C. 20549**

OMB APPROVAL

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|---|---|
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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â YEN ANDY

(Last) (First) (Middle)

135 N. LOS ROBLES AVE 7TH FLR

(Street)

PASADENA,Â CAÂ 91101

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
02/23/2016

3. Issuer Name **and** Ticker or Trading Symbol
EAST WEST BANCORP INC [EWBC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
__X__ Officer _____ Other
(give title below) (specify below)
Executive Vice President

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

## Table I - Non-Derivative Securities Beneficially Owned

| 1.Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|---|
| Common Stock | 31,220 | D | Â |
| Common Stock | 483 | I | 401 (K) Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

## Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|---|---|---|

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|---|---|---|---|---|---|---|---|
| Cliff-Vesting Restricted Stock Units | Â (1) | 03/07/2016 | Common Stock | 6,601 | $ (2) | D | Â |
| Cliff-Vesting Restricted Stock Units | Â (3) | 03/07/2017 | Common Stock | 6,784 | $ (2) | D | Â |
| Cliff-Vesting Restricted Stock Units | Â (4) | 02/27/2018 | Common Stock | 6,883 | $ (2) | D | Â |

# Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---|---|---|---|
| | Director | 10% Owner | Officer | Other |
| YEN ANDY<br>135 N. LOS ROBLES AVE 7TH FLR<br>PASADENA,Â CAÂ 91101 | Â | Â | Â Executive Vice President | Â |

# Signatures

| | |
|---|---|
| /s/ Felicia Yu, as Attorney-in-fact | 03/03/2016 |
| **Signature of Reporting Person | Date |

# Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** Restricted Stock Units ("RSU") granted on March 7, 2013 fully vest after three years on March 7, 2016.

**(2)** Each RSU represents the right to receive, at settlement, one share of common stock.

**(3)** RSU granted on March 7, 2014 fully vest after three years on March 7, 2017.

**(4)** RSU granted on February 27, 2015 fully vest after three years on February 27, 2018.

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**Remarks:**

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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