Edgar Filing: PLUG POWER INC - Form 4

PLUG POWER I Form 4	INC										
September 30, 20								OMP			
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									APPROVAL 3235-0		
Check this box if no longer									January	/ 31, 2005	
subject to Section 16. Form 4 or Form 5			OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						l average ours per	0.5	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Respo	onses)										
1. Name and Address of Reporting Person <u>*</u> GARBERDING LARRY			2. Issuer Name and Ticker or Trading Symbol PLUG POWER INC [PLUG]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle)			3. Date of Earliest Transaction				(Check an applicable)				
968 ALBANY SHAKER ROAD			(Month/Day/Year) 09/20/2011				X_ Director 10% Owner Officer (give title Other (specify below) below)				
(Street) LATHAM, NY 12110			4. If Amendment, Date Original Filed(Month/Day/Year)			I	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City)	(State)	(Zip)	Tab	ole I - Non-E	Derivative	Securities A	cquired, Disposed	of, or Benefici	ally Owned		
	ansaction Date nth/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	3. Transaction Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature o Indirect Beneficial Ownership (Instr. 4)		
Reminder: Report or	n a senarate line	e for each cl	ass of sec	urities benef	icially own	ed directly	or indirectly				
Common Report of	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.SEC 1474 (9-02)										

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		

	Derivative Security				or Dispose (D) (Instr. 3, 4 and 5)					
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Plug Power Common Stock	\$ 1.93	09/20/2011	А		65,000 (1)		(2)	09/20/2021	Plug Power Common Stock	65,000
Reporting Owners										

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GARBERDING LARRY 968 ALBANY SHAKER ROAD LATHAM, NY 12110	Х						
Signatures							
/s/ Gerard L. Conway, Jr., Attorne in Fact	y 09/30/2011						

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Awarded pursuant to Plug Power's 2011 Stock Option and Incentive Plan.

Consists of an option to acquire common stock granted on September 20, 2011 with respect to which: 21,667 shares will become
(2) exercisable on September 20, 2012; 21,667 shares will become exercisable on September 20, 2013; 21,666 shares will become exercisable on September 20, 2014.

Existing stock options held by the reporting person have been adjusted to reflect the May 19, 2011 1-for-10 reverse stock split as follows: 1,000 granted on 5/16/2002 exercise price \$102.30; 500 granted on 5/16/2002 exercise price \$102.30; 1,500 granted on 5/22/2003

- (3) exercise price \$50.20; 1,500 granted on 5/20/2004 exercise price \$75.00; 1,500 granted on 6/1/2005 exercise price \$62.20; 1,500 granted on 6/28/2006 exercise price \$46.40; 1,500 granted on 5/17/2007 exercise price \$29.80; 1,500 granted on 5/21/2008 exercise price \$32.10; 1,500 granted on 5/20/2009 exercise price \$9.50; 12,500 granted on 5/20/2009 exercise price \$9.50; 1,500 granted on 5/19/2010 exercise price \$4.80; 1,500 granted on 5/12/2011 exercise price \$5.10.
- (4) This total also accounts for 1,500 options that expired on May 16, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.