

Edgar Filing: SUN LIFE FINANCIAL SERVICES OF CANADA INC - Form 13F-HR

SUN LIFE FINANCIAL SERVICES OF CANADA INC  
Form 13F-HR  
November 15, 2002

FORM 13-F

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

For the period ended September 30, 2002

SUN LIFE FINANCIAL SERVICES OF CANADA INC.

(Translation of registrant's name into English)

150 King Street West,  
Toronto, Ontario M5H 1J9

(Address of principal executive offices)

Form 13F File No. 28-05799

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists and tables are considered integral parts of this form.

Person signing this Report on Behalf of Reporting Manager:

Name: Claude Accum  
Title: Vice President, Risk Management  
Phone: 416-979-6188

Signatures

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Sun Life Financial Services of Canada

By: /s/ Claude Accum

Claude Accum  
Vice President, Risk  
Management

Date: November 15, 2002

Report Type: (Check only one)

x 13F Holdings Report. (Check here if all holdings of this

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reporting manager are reported in this report.)

13F Notice. (Check here if no holdings reported are in this report and all holdings are reported by other reporting manager(s).

13F Combination Report. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).

Form 13F SUMMARY PAGE

Report Summary:

Number of Other  
Included Managers: 0

Form 13F Information  
Table Entry Total: 86

Form 13F Information \$359,040  
Table Value Total (thousands)

Form 13F

Information Table -

September 30, 2002

| COLUMN 1       | COLUMN 2       | COLUMN 3 | COLUMN 4       | COLUMN 5        |        |
|----------------|----------------|----------|----------------|-----------------|--------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP    | VALUE (x\$100) | SHRS OR PRN AMT | SH/PRN |

U.S. Operations - amounts shown are in U.S. dollars

|                     |      |           |        |           |    |
|---------------------|------|-----------|--------|-----------|----|
| AOL Time Warner Inc | COM  | 00184A105 | 10,632 | 908,751   | SH |
| AT&T Corp           | COM  | 001957109 | 9,461  | 787,732   | SH |
| Agere Systems Inc   | CL B | 00845V209 | 5,486  | 5,541,556 | SH |
| Allstate Corp       | COM  | 020002101 | 7,978  | 224,410   | SH |
| Alpharma Inc        | CL A | 020813101 | 2,452  | 255,456   | SH |

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|                                |               |           |       |           |    |
|--------------------------------|---------------|-----------|-------|-----------|----|
| Ameritrade Holding Corp        | COM           | 03074K100 | 6,080 | 1,629,932 | SH |
| Apartment Invt & Mgmt Co-A     | CL A          | 03748R101 | 6,067 | 156,152   | SH |
| Archer Daniels Midland Co      | COM           | 039483102 | 8,776 | 701,499   | SH |
| Archstone-Smith Trust          | COM           | 039583109 | 3,556 | 148,895   | SH |
| Arris Group Inc                | COM           | 04269Q100 | 7,108 | 1,921,133 | SH |
| Ascential Software Corp        | COM           | 04362P108 | 4,174 | 2,246,626 | SH |
| Avalon Bay Communities Inc     | COM           | 053484101 | 3,862 | 92,402    | SH |
| Avaya Inc                      | COM           | 053499109 | 3,940 | 2,754,993 | SH |
| BRE Properties                 | CL A          | 05564E106 | 4,732 | 153,885   | SH |
| Bausch & Lomb                  | COM           | 071707103 | 2,901 | 87,469    | SH |
| Baxter International Inc       | COM           | 071813109 | 6,803 | 222,693   | SH |
| Boston Properties Inc          | COM           | 101121101 | 7,331 | 197,076   | SH |
| Boston Scientific Corp         | COM           | 101137107 | 6,157 | 195,075   | SH |
| CBL & Associates Properties    | COM           | 124830100 | 7,336 | 189,307   | SH |
| Centerpoint Properties Trust   | SH<br>BEN INT | 151895109 | 4,103 | 73,932    | SH |
| Cisco Systems Inc              | COM           | 17275R102 | 1,108 | 105,753   | SH |
| Concord Communications Inc     | COM           | 206186108 | 2,400 | 475,272   | SH |
| Conocophilips                  | COM           | 20825C104 | 6,347 | 137,267   | SH |
| Developers Diversified Realty  | COM           | 251591103 | 3,445 | 156,541   | SH |
| Dollar General Corp            | COM           | 256669102 | 7,862 | 585,866   | SH |
| Duke Realty Corp               | COM<br>NEW    | 264411505 | 4,446 | 180,581   | SH |
| Eclipsys Corp                  | COM           | 278856109 | 2,075 | 408,434   | SH |
| Engelhard Corp                 | COM           | 292845104 | 6,112 | 256,496   | SH |
| Equity Office Properties Trust | COM           | 294741103 | 3,053 | 118,241   | SH |

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|                                  |                   |           |       |         |    |
|----------------------------------|-------------------|-----------|-------|---------|----|
| Federal Realty<br>Investment Tr  | SH<br>BEN INT NEW | 313747206 | 4,434 | 164,224 | SH |
| First Industrial<br>Realty Trust | COM               | 32054K103 | 2,129 | 68,703  | SH |
| FleetBoston<br>Financial Corp    | COM               | 339030108 | 4,717 | 232,043 | SH |
| GATX Corporation                 | COM               | 361448103 | 8,476 | 428,096 | SH |
| General Growth<br>Properties     | COM               | 370021107 | 7,344 | 142,605 | SH |
| General Motors Corp              | CL H<br>NEW       | 370442832 | 7,797 | 852,100 | SH |
| Haemonetics Corp                 | COM               | 405024100 | 1,077 | 45,404  | SH |
| Hain Celestial Group<br>Inc      | COM               | 405217100 | 3,323 | 226,841 | SH |
| Highwoods Properties<br>Inc      | COM               | 431284108 | 4,825 | 206,180 | SH |
| IRT Property Co                  | COM               | 450058102 | 2,951 | 251,170 | SH |
| I-STAT Corp                      | COM               | 450312103 | 2,201 | 944,579 | SH |
| MSCI World Equity<br>Benchmark   | MSCI<br>AUSTRALIA | 464286103 | 676   | 78,186  | SH |
| MSCI World Equity<br>Benchmark   | MSCI<br>BELGIUM   | 464286301 | 205   | 23,846  | SH |
| MSCI World Equity<br>Benchmark   | MSCI<br>SINGAPORE | 464286673 | 150   | 33,739  | SH |
| MSCI World Equity<br>Benchmark   | MSCI<br>UTDKINGD  | 464286699 | 3,590 | 307,110 | SH |
| MSCI World Equity<br>Benchmark   | MSCI<br>FRANCE    | 464286707 | 1,225 | 93,055  | SH |
| MSCI World Equity<br>Benchmark   | MSCI<br>SWITZERLD | 464286749 | 969   | 91,331  | SH |
| MSCI World Equity<br>Benchmark   | MSCI<br>SWEDEN    | 464286756 | 413   | 50,258  | SH |
| MSCI World Equity<br>Benchmark   | MSCI<br>SPAIN     | 464286764 | 482   | 31,549  | SH |
| MSCI World Equity<br>Benchmark   | MSCI<br>GERMAN    | 464286806 | 927   | 101,165 | SH |
| MSCI World Equity<br>Benchmark   | MSCI<br>NETHERLND | 464286814 | 731   | 59,958  | SH |
| MSCI World Equity<br>Benchmark   | MSCI<br>JAPAN     | 464286848 | 3,367 | 459,351 | SH |

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|                                |                |           |       |           |    |
|--------------------------------|----------------|-----------|-------|-----------|----|
| MSCI World Equity Benchmark    | MSCI ITALY     | 464286855 | 614   | 46,695    | SH |
| MSCI World Equity Benchmark    | MSCI HONG KONG | 464286871 | 288   | 40,412    | SH |
| JPMorgan Chase & Co            | COM            | 46625H100 | 5,864 | 308,810   | SH |
| Kimco Realty Corp              | COM            | 49446R109 | 4,563 | 146,717   | SH |
| Kroger Co                      | COM            | 501044101 | 4,329 | 307,017   | SH |
| Legato Systems Inc             | COM            | 524651106 | 3,289 | 1,192,679 | SH |
| Liberty Media Group            | COM SER A      | 530718105 | 4,299 | 598,801   | SH |
| Lincoln National Corp          | COM            | 534187109 | 4,627 | 151,466   | SH |
| Lucent Technologies Inc        | COM            | 549463107 | 3,161 | 4,159,519 | SH |
| Macerich Company               | COM            | 554382101 | 4,528 | 146,164   | SH |
| Mack-Cali Realty Corp          | COM            | 554489104 | 4,964 | 154,507   | SH |
| Manor Care Inc                 | COM            | 564055101 | 7,317 | 325,483   | SH |
| Mellon Financial Corp          | COM            | 58551A108 | 2,436 | 93,953    | SH |
| Merrill Lynch & Co Inc         | COM            | 590188108 | 6,873 | 208,594   | SH |
| Millennium Pharmaceuticals     | COM            | 599902103 | 4,296 | 460,958   | SH |
| Parametric Technology Corp     | COM            | 699173100 | 5,919 | 3,288,516 | SH |
| Pegasus Communications Corp    | CL A           | 705904100 | 2,451 | 2,227,753 | SH |
| Prologis                       | SH BEN INT     | 743410102 | 2,665 | 106,970   | SH |
| Prudential Financial Inc       | COM            | 744320102 | 4,740 | 165,957   | SH |
| Public Storage Inc             | COM            | 74460D109 | 3,251 | 101,924   | SH |
| Reckson Associates Realty Corp | COM            | 75621K106 | 5,568 | 244,534   | SH |
| SL Green Realty Corp           | COM            | 78440X101 | 8,764 | 285,106   | SH |
| Shurgard Storage Centers-A     | COM            | 82567D104 | 2,677 | 84,666    | SH |

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|                            |                    |           |       |           |    |
|----------------------------|--------------------|-----------|-------|-----------|----|
| Simon Property Group Inc   | COM                | 828806109 | 2,224 | 62,254    | SH |
| Sourcecorp Inc             | COM                | 836167106 | 2,487 | 121,870   | SH |
| Sprint Corp                | PCS<br>COM<br>SER1 | 852061506 | 4,574 | 2,333,606 | SH |
| Texas Instruments Inc      | COM                | 882508104 | 68    | 4,628     | SH |
| Unova Inc                  | COM                | 91529B106 | 2,949 | 599,447   | SH |
| Vertex Pharmaceuticals Inc | COM                | 92532F100 | 5,755 | 311,226   | SH |
| Vicor Corp                 | COM                | 925815102 | 4,431 | 619,711   | SH |
| Vitria Technology Inc      | COM                | 92849Q104 | 2,672 | 3,425,488 | SH |
| Vornado Realty Trust       | SH<br>BEN<br>INT   | 929042109 | 5,246 | 132,976   | SH |
| Waste Management Inc       | COM                | 94106L109 | 7,419 | 318,144   | SH |
| Westar Energy Inc          | COM                | 95709T100 | 4,373 | 434,686   | SH |

Canadian Operations - Amounts shown are in U.S. dollars

|                                   |                |           |       |        |  |
|-----------------------------------|----------------|-----------|-------|--------|--|
| Standard & Poor's<br>Dep Receipts | Com.<br>Shares | 78462F103 | 1,562 | 19,100 |  |
|-----------------------------------|----------------|-----------|-------|--------|--|