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TREATY OAK BANCORP INC Form 3 November 16, 2007 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Bostick Sheila		2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol TREATY OAK BANCORP INC [TOAK]				
(Last) (Fir	st) (Middle)	11/15/2007	4. Relationsh Person(s) to I	ip of Reporting	5. If Amendment, Date Original Filed(Month/Day/Year)		
101 WESTLAKE	E DRIVE						
(Str	eet)	(Che		all applicable) 6. Individual or Joint/Group		
AUSTIN, TXÂ	78746		Director X Officer (give title below Senior V				
(City) (Sta	te) (Zip)	Table I - N	Non-Derivat	tive Securit	ies Beneficially Owned		
1.Title of Security (Instr. 4)		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock		2,160		Ι	Prime Vest Financial Services, Custodian for Sheila Bostick - IRA		
Common Stock		1,205		Ι	Sheila Mangum-Bostick and Ynema Mangum Joint Tenant		
Common Stock		6,810		D	Â		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

OMB APPROVAL

OMB 3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

SEC 1473 (7-02)

1. Title of Derivative Security (Instr. 4)	Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration e Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Options to acquire common stock	(1)	05/19/2014	Common Stock	3,500	\$ 8.33	D	Â
Options to acquire common stock	(2)	10/24/2015	Common Stock	4,000	\$ 8.5	D	Â
Options to acquire common stock	(<u>3)</u>	10/24/2015	Common Stock	1,000	\$ 8.5	D	Â
Options to acquire common stock	(4)	09/25/2016	Common Stock	5,000	\$ 8.75	D	Â
Options to acquire common stock	(5)	09/29/2017	Common Stock	2,000	\$ 10.5	D	Â

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Reporting Owners

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
Bostick Sheila 101 WESTLAKE DRIVE AUSTIN, TX 78746	Â	Â	Senior Vice President	Â		

Signatures

/s/ Sheila Bostick <u>**Signature of</u> Reporting Person Late

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) one third vests 5/20/05, one third vests 5/20/06, and one third vests 5/20/07
- (2) one third vests 10/25/06, one third vests 10/25/07, and one third vests 10/25/08
- (3) one third vests 10/25/06, one third vests 10/25/07, and one third vests 10/25/08
- (4) one third vests 9/26/07, one third vests 9/26/08, and one third vests 9/26/09
- (5) one third vests 9/30/08, one third vests 9/30/09, and one third vests 9/30/10

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.