

CONNS INC  
Form 4  
June 05, 2008

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
THOMPSON SCOTT L

(Last) (First) (Middle)  
8610 HAWAII LANE  
(Street)  
JERSEY VILLAGE, TX 77040  
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CONNS INC [CONN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/03/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				(A) or (D)	Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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Derivative Security			or Disposed of (D) (Instr. 3, 4, and 5)			Date Exercisable	Expiration Date	Title	Amount or Number of Shares
			Code	V	(A)				
Stock Option - Right to Buy	\$ 16.93	06/03/2008	A		10,000	06/03/2009 <sup>(2)</sup>	<sup>(1)</sup>	Common Stock	10,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
THOMPSON SCOTT L 8610 HAWAII LANE JERSEY VILLAGE, TX 77040			X	

## Signatures

/s/ Scott L. Thompson  
06/05/2008

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option remains exercisable until the earlier to occur of June 3, 2018 or three years after the termination of the optionee's service on the Board of Directors.
- (2) This option is an automatic grant per the 2003 Non-Employee Director Stock Option Plan and vests on the anniversary date of the original grant
- (3) Not a required reportable field. SEC software requires a dollar amount; use zeros per SEC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 12/06/2003<sup>(1)</sup>12/06/2017<sup>(2)</sup> Common Stock 4,400 4,400 D Employee Stock Option (Right to Buy) \$ 17.79 12/12/2004<sup>(1)</sup>12/12/2018<sup>(2)</sup> Common Stock 6,000 6,000 D Employee Stock Option (Right to Buy) \$ 25.93 12/07/2005<sup>(1)</sup>12/07/2019<sup>(2)</sup> Common Stock 6,000 6,000 D

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MILLER RONALD F PO BOX 2777			X	President, Subsidiary

WINCHESTER, VA 22604

Bank

## Signatures

Teresa D. Sherman, Lmtd POA,  
Attorney-In-Fact

02/10/2005

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option Vests in 5 equal annual installments

(2) Option expires in 5 equal annual installments

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. t-size:10.0pt;">Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares x

11

Percent of Class Represented by Amount in Row (9)  
3.9%

12

Type of Reporting Person  
CO

CUSIP No. 828806109

**SCHEDULE 13G**

- |   |  |   |
|---|--|---|
| 1   | Name of Reporting Person or<br>I.R.S. Identification No. of Above Person |   |
|   | Herbert Simon  |   |
| 2   | Check the Appropriate Box if a Member of a Group                         |   |
|   | (a) <input checked="" type="checkbox"/>                                  |   |
|   | (b) <input type="checkbox"/>   |   |
| 3   | SEC Use Only   |   |
| 4   | Citizenship or Place of Organization                                     |   |
|   | United States  |   |
|   | 5  | Sole Voting Power<br>5,426,429 shares (See Item 4)      |
| Number of<br>Shares<br>Beneficially<br>Owned by<br>Each<br>Reporting<br>Person With | 6  | Shared Voting Power<br>898,120 shares (See Item 4)      |
|   | 7  | Sole Dispositive Power<br>5,426,429 shares (See Item 4) |
|   | 8  | Shared Dispositive Power<br>898,120 shares (See Item 4) |
| 9   | Aggregate Amount Beneficially Owned by Each Reporting Person             |   |
|   | 6,324,549 shares (See Item 4)  |   |
| 10  | Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares     | <input checked="" type="checkbox"/>                     |
| 11  | Percent of Class Represented by Amount in Row (9)                        |   |
|   | 2.0%   |   |
| 12  | Type of Reporting Person   |   |
|   | IN   |   |

CUSIP No. 828806109

**SCHEDULE 13G**

- |   |  |   |
|---|--|---|
| 1   | Name of Reporting Person or<br>I.R.S. Identification No. of Above Person |   |
|   | David Simon  |   |
| 2   | Check the Appropriate Box if a Member of a Group                         |   |
|   | (a) <input checked="" type="checkbox"/>                                  |   |
|   | (b) <input type="checkbox"/>   |   |
| 3   | SEC Use Only   |   |
| 4   | Citizenship or Place of Organization                                     |   |
|   | United States  |   |
|   | 5  | Sole Voting Power<br>8,895,825 shares (See Item 4)        |
| Number of<br>Shares<br>Beneficially<br>Owned by<br>Each<br>Reporting<br>Person With | 6  | Shared Voting Power<br>1,016,890 shares (See Item 4)      |
|   | 7  | Sole Dispositive Power<br>1,977,558 shares (See Item 4)   |
|   | 8  | Shared Dispositive Power<br>7,935,157 shares (See Item 4) |
| 9   | Aggregate Amount Beneficially Owned by Each Reporting Person             |   |
|   | 9,912,715 shares (See Item 4)  |   |
| 10  | Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares     | <input checked="" type="checkbox"/>                       |
| 11  | Percent of Class Represented by Amount in Row (9)                        |   |
|   | 3.1%   |   |
| 12  | Type of Reporting Person   |   |
|   | IN   |   |

CUSIP No. 828806109

**SCHEDULE 13G**

1 Name of Reporting Person or  
I.R.S. Identification No. of Above Person

Voting Trust formed pursuant to a Second Amended and Restated Voting Trust Agreement, Voting Agreement and Proxy entered into as of March 1, 2004 between Melvin Simon & Associates, Inc., an Indiana corporation and Melvin Simon, Herbert Simon and David Simon

2 Check the Appropriate Box if a Member of a Group

(a)  x

(b)  o

3 SEC Use Only

4 Citizenship or Place of Organization

Delaware

5 Sole Voting Power  
-0- shares

Number of  
Shares  
Beneficially  
Owned by  
Each  
Reporting  
Person With

6 Shared Voting Power  
889,747 shares (See Item 4)

7 Sole Dispositive Power  
-0- shares

8 Shared Dispositive Power  
889,747 shares (See Item 4)

9 Aggregate Amount Beneficially Owned by Each Reporting Person  
889,747 shares (See Item 4)

10 Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares  x

11 Percent of Class Represented by Amount in Row (9)  
0.28%

12 Type of Reporting Person  
OO

CUSIP No. 828806109

**SCHEDULE 13G**

1 Name of Reporting Person or  
I.R.S. Identification No. of Above Person

Voting Trust formed pursuant to a Voting Trust Agreement, Voting Agreement and Proxy entered into as of March 1, 2004 between David Simon, Melvin Simon and Herbert Simon

2 Check the Appropriate Box if a Member of a Group  
(a)  x  
(b)  o

3 SEC Use Only

4 Citizenship or Place of Organization  
Delaware

Number of Shares Beneficially Owned by Each Reporting Person With	5	Sole Voting Power -0- shares
	6	Shared Voting Power 8,373 shares (See Item 4)
	7	Sole Dispositive Power -0- shares
	8	Shared Dispositive Power 8,373 shares (See Item 4)

9 Aggregate Amount Beneficially Owned by Each Reporting Person  
8,373 shares (See Item 4)

10 Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares  x

11 Percent of Class Represented by Amount in Row (9)  
Less than .01%

12 Type of Reporting Person  
OO

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**SCHEDULE 13G**

- Item 1.**
- (a). Name of Issuer:  
Simon Property Group, Inc.
  - (b). Address of Issuer's Principal Executive Offices:  
225 West Washington Street  
  
Indianapolis, IN 46204
- Item 2.**
- (a). Name of Person Filing:
    - (i) Melvin Simon & Associates, Inc. (hereinafter, "MSA")
    - (ii) Herbert Simon
    - (iii) David Simon
    - (iv) Voting Trust formed pursuant to Second Amended and Restated Voting Trust Agreement, Voting Agreement and Proxy entered into as of March 1, 2004 between Melvin Simon & Associates, Inc., an Indiana corporation, and Melvin Simon, Herbert Simon and David Simon (hereinafter, "Amended and Restated Voting Trust")
    - (v) Voting Trust formed pursuant to a Voting Trust Agreement, Voting Agreement and Proxy entered into as of March 1, 2004 between David Simon, Melvin Simon and Herbert Simon (hereinafter "Class B Voting Trust")
  - (b). Address or Principal Business Office or, if none, Residence:  
The address for each of MSA, Herbert Simon, David Simon, the Amended and Restated Voting Trust and the Class B Voting Trust is:  
  
225 West Washington Street  
  
Indianapolis, Indiana 46204
  - (c). Citizenship:
    - (i) Melvin Simon & Associates, Inc. Indiana
    - (ii) Herbert Simon United States
    - (iii) David Simon United States
    - (iv) Amended and Restated Voting Trust Delaware
    - (v) Class B Voting Trust Delaware
  - (d). Title of Class of Securities:  
Common Stock, \$.0001 par value per share
  - (e). CUSIP No.:  
828806109
- Item 3.** If this statement is filed pursuant to §§.240.13d-1(b) or 240.13d-2(b), or (c), check whether the person filing is a:
- (a)  Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).

Explanation of Responses:

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- (b)  Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
  - (c)  Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
  - (d)  Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
  - (e)  An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f)  An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g)  A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h)  A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)  A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
  - (j)  A non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J);
  - (k)  Group, in accordance with §240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J), please specify the type of institution: \_\_\_\_\_.
- Not applicable

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**SCHEDULE 13G****Item 4. Ownership.**

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1. The following information is provided as of December 31, 2016.

I.	MSA	(a)	Amount beneficially owned:*
		(b)	Percent of class:**
			3.9%

\* Amounts disclosed for each reporting person include, as appropriate: (1) shares of Common Stock, par value \$0.0001 per share ( Common ) of Simon Property Group, Inc. (the Company ); (2) shares of Class B Common Stock, par value \$0.0001 per share ( Class B Common ) of the Company (shares of Class B Common may convert into Common on a share-for-share basis); (3) units of partnership interest ( Units ) of Simon Property Group, L.P. (the Operating Partnership ) (Units held by limited partners are exchangeable for shares of Common on a one-to-one basis or cash, as determined by the Company); and (4) certain awards granted pursuant to the Simon Property Group, L.P. 1998 Stock Incentive Plan, as amended (the Stock Plan ) consisting of long-term incentive plan units ( LTIP Units ) (LTIP units which have satisfied all applicable performance and/or time-based vesting requirements can be converted into Units and then exchanged for shares of Common on a one-to-one basis or cash, as determined by the Company).

\*\* All calculations of percentage ownership herein are based upon an aggregate of 313,074,574 shares of Common and 8,000 shares of Class B Common outstanding as of December 31, 2016, as reported by Simon Property Group, Inc. on Form 8-K filed with the Securities and Exchange Commission (the SEC ) on January 31, 2017. In addition, all calculations of percentage ownership herein assume that all Units and fully-vested LTIP Units held by the applicable reporting person are exchanged for shares of Common, but do not give effect to the exchange of Units or fully-vested LTIP Units by other reporting persons.

(1) MSA is owned directly or indirectly through one or more entities by Herbert Simon (30.94%), David Simon (3.04%) and certain other shareholders. Includes 11,634,169 shares of Common issuable upon exchange of Units owned directly by MSA and indirectly owned through an entity that MSA controls, as well as 889,747 shares of Common currently outstanding that are subject to the Amended and Restated Voting Trust, of which Herbert Simon and David Simon are the voting trustees.

(c) Number of shares as to which such person has:

(i)	Sole power to vote or to direct the vote:
	11,634,169 shares
(ii)	Shared power to vote or to direct the vote:
	889,747 shares
(iii)	Sole power to dispose or to direct the disposition of:
	11,634,169 shares
(iv)	Shared power to dispose or to direct the disposition of:

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889,747 shares

8

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**SCHEDULE 13G**

II. HERBERT SIMON

- (a) Amount beneficially owned:\*
- 6,324,549 shares (2)
- (b) Percent of class:\*\*
- 2.0%
- (c) Number of shares as to which such person has:
  - (i) Sole power to vote or to direct the vote:
    - 5,426,429 shares
  - (ii) Shared power to vote or to direct the vote:
    - 898,120 shares
  - (iii) Sole power to dispose or to direct the disposition of:
    - 5,426,429 shares
  - (iv) Shared power to dispose or to direct the disposition of:
    - 898,120 shares

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(2) Includes 2,353 shares of Common currently outstanding and 5,424,076 shares of Common issuable upon exchange of Units owned indirectly through trusts or other entities controlled by Herbert Simon, including 102 Units (which represents Herbert Simon's proportionate share of 204 Units owned by an entity that is owned by Herbert Simon and a third party). Also includes 889,747 shares of Common subject to the Amended and Restated Voting Trust of which Herbert Simon is one of two voting trustees. Also includes 8,000 shares of Class B Common and 373 shares of Common subject to the Class B Voting Trust of which Herbert Simon is one of two voting trustees. Does not include shares of Common and shares issuable upon exchange of Units owned by MSA, which is 30.94% owned by trusts controlled by Herbert Simon, which are reported separately.

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**SCHEDULE 13G**

## III. DAVID SIMON

(a) Amount beneficially owned:\*

9,912,715 shares (3)

(b) Percent of class:\*\*

3.1%

(c) Number of shares as to which such person has:

(i) Sole power to vote or to direct the vote:

8,895,825 shares

(ii) Shared power to vote or to direct the vote:

1,016,890 shares

(iii) Sole power to dispose or to direct the disposition of:

1,977,558 shares

(iv) Shared power to dispose or to direct the disposition of:

7,935,157 shares

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(3) Reflects 804,088 Units that are owned by David Simon, 57,546 Units that are owned by D & J Partnership (a general partnership between David Simon and his spouse) and 571,053 vested LTIP Units owned by David Simon that were received under the Stock Plan. Also reflects 6,918,267 Units directly held by SFG Company LLC over which David Simon has sole voting power and shared dispositive power. Also reflects (i) 8,000 shares of Class B Common (which are convertible on a one-for-one basis into shares of Common) and 373 shares of Common, which are subject to the Class B Voting Trust of which David Simon is one of two voting trustees and (ii) 889,747 shares of Common, which are subject to the Amended and Restated Voting Trust of which David Simon is one of two voting trustees. The ownership percentage is based on the number of outstanding shares of Common and 8,390,721 shares of Common into which the Units, LTIP Units and Class B Common beneficially owned by Mr. Simon may be converted. Does not include any shares of Common issuable pursuant to unearned and/or unvested awards under the Stock Plan. Does not include 760,922 Units held by a trust which David Simon does not control but is a beneficiary. Does not include shares of Common and shares issuable upon exchange of Units owned by MSA, which is 3.04% owned by David Simon individually or by trusts owned or controlled by David Simon, which are reported separately.

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**SCHEDULE 13G**

IV. AMENDED AND RESTATED VOTING TRUST

(a) Amount beneficially owned:\*

889,747 shares

(b) Percent of class:\*\*

0.28%

(c) Number of shares as to which such person has:

(i) Sole power to vote or to direct the vote:

-0- shares

(ii) Shared power to vote or to direct the vote:

889,747 shares

(iii) Sole power to dispose or to direct the disposition of:

-0- shares

(iv) Shared power to dispose or to direct the disposition of:

889,747 shares

V. CLASS B VOTING TRUST

(a) Amount beneficially owned:\*

8,373 shares (4)

(b) Percent of class:\*\*

Less than 0.01%

(c) Number of shares as to which such person has:

(i) Sole power to vote or to direct the vote:

-0- shares

(ii) Shared power to vote or to direct the vote:

8,373 shares

(iii) Sole power to dispose or to direct the disposition of:

-0- shares

(iv) Shared power to dispose or to direct the disposition of:

8,373 shares

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(4) Consists of 8,000 shares of Class B Common and 373 shares of Common.



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**SCHEDULE 13G**

**Item 5. Ownership of Five Percent or Less of a Class.**

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following .

**Item 6. Ownership of More than Five Percent on Behalf of Another Person.**

Not applicable

**Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.**

Not applicable

**Item 8. Identification and Classification of Members of the Group.**

The members of the group are MSA, Herbert Simon, David Simon, Amended and Restated Voting Trust, and Class B Voting Trust.

**Item 9. Notice of Dissolution of Group.**

Not applicable

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**SCHEDULE 13G**

**Item 10. Certifications.**  
Not applicable

**Signature**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 14, 2017

MELVIN SIMON & ASSOCIATES, INC., an Indiana corporation

By: /s/ Herbert Simon  
Herbert Simon, Chairman

SECOND AMENDED AND RESTATED VOTING TRUST AGREEMENT,  
VOTING AGREEMENT AND PROXY entered into as of March 1, 2004 between  
Melvin Simon & Associates, Inc. and Melvin Simon, Herbert Simon and David  
Simon

By: /s/ Herbert Simon  
Herbert Simon, as Voting Trustee and in his  
individual capacity

By: /s/ David Simon  
David Simon, as Voting Trustee and in his individual  
capacity

VOTING TRUST AGREEMENT, VOTING AGREEMENT AND PROXY  
entered into as of March 1, 2004 between David Simon, Melvin Simon and Herbert  
Simon

By: /s/ David Simon  
David Simon, as Voting Trustee

By: /s/ Herbert Simon  
Herbert Simon, as Voting Trustee

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**SCHEDULE 13G**

**Exhibit A**

JOINT FILING AGREEMENT

The undersigned persons agree and consent to the joint filing on their behalf of this Amendment No. 8 to Schedule 13G in connection with their beneficial ownership of the Common Stock of Simon Property Group, Inc. at December 31, 2016.

Date: February 14, 2017

MELVIN SIMON & ASSOCIATES, INC., an Indiana corporation

By: /s/ Herbert Simon  
Herbert Simon, Chairman

SECOND AMENDED AND RESTATED VOTING TRUST AGREEMENT,  
VOTING AGREEMENT AND PROXY entered into as of March 1, 2004 between  
Melvin Simon & Associates, Inc. and Melvin Simon, Herbert Simon and David  
Simon

By: /s/ Herbert Simon  
Herbert Simon, as Voting Trustee and in his  
individual capacity

By: /s/ David Simon  
David Simon, as Voting Trustee and in his individual  
capacity

VOTING TRUST AGREEMENT, VOTING AGREEMENT AND PROXY  
entered into as of March 1, 2004 between David Simon, Melvin Simon and Herbert  
Simon

By: /s/ David Simon  
David Simon, as Voting Trustee

By: /s/ Herbert Simon  
Herbert Simon, as Voting Trustee