

Edgar Filing: CURATIVE HEALTH SERVICES INC - Form 5

CURATIVE HEALTH SERVICES INC

Form 5

February 09, 2001

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OMB APPROVAL  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

- Check this box if no longer subject of Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- Form 3 Holdings Reported
- Form 4 Transactions Reported

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1. Name and Address of Reporting Person\*

|        |         |          |
|--------|---------|----------|
| Berce  | Daniel  | E.       |
| -----  | -----   | -----    |
| (Last) | (First) | (Middle) |

150 Motor Parkway

-----  
(Street)

|           |          |       |
|-----------|----------|-------|
| Hauppauge | New York | 11788 |
| -----     | -----    | ----- |
| (City)    | (State)  | (Zip) |

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2. Issuer Name and Ticker or Trading Symbol

Curative Health Services, Inc. (Cure)

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3. IRS or Social Security Number of Reporting Person (Voluntary)

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4. Statement for Month/Year

December 2000

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

- Director  10% Owner  
 Officer (give title below)  Other (specify below)

7. Individual or Joint/Group Filing  
(Check applicable line)

- Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |       |
|---------------------------------------|---|---|--|------------------|-------|
|                                       |   |   | Amount   | (A)<br>or<br>(D) | Price |

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\* If the form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Page 1 of 2

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8) | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|---|--|---|--|
|--|---|--|---|--|---|--|

|                         |       |         |   |        |             |                   |
|-------------------------|-------|---------|---|--------|-------------|-------------------|
| Incentive Stock Options | 5.406 | 5/31/00 | A | 24,000 | (1) 5/31/10 | Common Stk 24,000 |
|-------------------------|-------|---------|---|--------|-------------|-------------------|

|                         |      |         |   |       |             |                  |
|-------------------------|------|---------|---|-------|-------------|------------------|
| Incentive Stock Options | 5.50 | 11/1/00 | A | 3,000 | (2) 11/1/10 | Common Stk 3,000 |
|-------------------------|------|---------|---|-------|-------------|------------------|

|                         |       |          |   |        |              |                   |
|-------------------------|-------|----------|---|--------|--------------|-------------------|
| Incentive Stock Options | 5.563 | 12/22/00 | A | 20,000 | (2) 12/22/10 | Common Stk 20,000 |
|-------------------------|-------|----------|---|--------|--------------|-------------------|

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Explanation of Responses:(1) Incentive Stock Option granted pursuant to Curative Technologies, Inc. 1995 Non-Employee Director Stock Option Plan. Options shall become exercisable with respect to one third of the shares on the first day of the month following the one year anniversary and thereafter shall become exercisable with respect to the balance of the shares in equal monthly installments on the last date of each of the eight successive (3) month periods following the exercisability date.

(2) Incentive Stock Option granted pursuant to Curative Health Services, Inc. 2000 Stock Incentive Plan. Options shall become exercisable with respect to one third of the shares on the first day of the month following the one year anniversary and thereafter shall become exercisable with respect to the balance of the shares in equal monthly installments on the last date of each of the eight successive (3) month periods following the exercisability date.

/s/ John C. Prior

February 09, 2001

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John C. Prior, (Attorney In Fact)

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Date

\*\*Signature of Reporting Person

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Alternatively, this Form is permitted to be submitted to the Commission in electronic format at the option of the reporting person pursuant to Rule 101(b)(4) of Regulation S-T.