UNIVERSAL ELECTRONICS INC

Form 144

August 22, 2007

|            | UNITED  | STATES |            |
|------------|---------|--------|------------|
| SECURITIES | AND EXC | CHANGE | COMMISSION |

OMB Number:

OMB APPROVAL

3235-0101

Washington, D.C. 20549 Expires:

December 31,

2006

Estimated average

burden

hours per response

..... 4.47

SEC USE ONLY

SEC OSE ONE

**FORM 144** 

DOCUMENT SEQUENCE

# NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

**CUSIP NUMBER** 

ATTENTION: WORK LOCATION

Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

1 (a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. (c) S.E.C. FILE NO.

NO.

Universal Electronics Inc. 33-0204817 000-21044

1 (d) ADDRESS OF ISSUER (Street, City, State, Zip Code) (e) TELEPHONE NO.

6101 Gateway Drive, Cypress, CA 90630-4841 AREA CODE NUMBER
714 820-1000

2 (a) NAME OF PERSON (b) IRS IDENT. (c) RELATIONSHIP (d) ADDRESS (Street. City, State, Zip Code)

FOR WHOSE ACCOUNT NO. TO ISSUER

THE SECURITIES ARE

TO BE SOLD

Paul Arling Chairman and CEO 71 New Dawn, Irvine, CA 92620

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

| 3(a)         | <b>(b)</b>         | SEC USE       | (c)           | (d)       | (e)                | <i>(f)</i>   | <b>(g)</b> |
|--------------|--------------------|---------------|---------------|-----------|--------------------|--------------|------------|
|              |                    | ONLY          |               |           |                    |              |            |
| Title of the | Name and Address   |               | Number        | Aggregate | Number of          | Approximate  | Name of    |
| Class of     | of Each Broker     |               | of            |           | Shares or          |              | Each       |
| Securities   | Through Whom       | Broker-Dealer | <b>Shares</b> | Market    | <b>Other Units</b> | Date of Sale | Securities |
| To be sold   | the Securities are |               | or            |           | Outstanding        |              | Exchange   |
|              | to be Offered or   |               | Other         | Value     |                    |              |            |

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|  | Each Market<br>Maker who is<br>Acquiring the<br>Securities | File Number  | Units<br>To Be<br>Sold   | (See instr. 3(d))                                       | (See instr.<br>3(e))                 | (See instr. 3(f)) (MO. DAY YR.) | (See instr.<br>3(g)) |  |             |
|--|--|--------------|--|---|--------------------------------------|---------------------------------|----------------------|--|-------------|
|  |  |              | (See<br>instr.<br>3(c))  |   |                                      |                                 |                      |  |             |
| Common   | B. Riley & Co.   |              | 70,000   | 1,138,800   | 14,550,000                           | 8/20/07                         | Nasdaq               |  |             |
|  | 11100 Santa<br>Monica Blvd. Suite<br>800                   |              |  |   |                                      |                                 |                      |  |             |
|  | Los Angeles, CA  |              |  |   |                                      |                                 |                      |  |             |
| INSTRUCTI  | ONS:   |              | 3.   |   |                                      |                                 |                      |  |             |
| 1  |  |              | (a)  |   |                                      |                                 |                      |  |             |
| (a)  |  |              | Title  | e of the class  | of securities to                     | be sold                         |                      |  |             |
| Name of issue  | er   |              | (b)  |   |                                      |                                 |                      |  |             |
| (b)  |  |              |  |   |                                      | er through whor                 | n the                |  |             |
| Issuer s I.R.S   | . Identification Num                                       | ber          | secu   | ırities are inte  | nded to be sold                      | 1                               |                      |  |             |
| (c)  |  |              | (c)  |   |                                      |                                 |                      |  |             |
| Issuer s S.E.C. file number, if any                            |  |              |  |   | s or other units<br>he aggregate fac | to be sold (if de               | ebt                  |  |             |
| ·  |  |              | (d)  | arrices, grve th  | ie uggregute rut                     | or uniount)                     |                      |  |             |
| (d)  |  |              |  |   | . 1 6.1                              | 1                               | 11 6                 |  |             |
| Issuer s address, including zip code                           |  | spec         | Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this  |   |                                      |                                 |                      |  |             |
| (e)  |  |              | noti   | ce  |                                      |                                 |                      |  |             |
| Issuer s telep   | hone number, includi                                       | ng area code | (e)  |   |                                      |                                 |                      |  |             |
| 2.   |  |              | Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer |   |                                      |                                 |                      |  |             |
| Name of person for whose account the securities are to be sold |  |              |  |   |                                      |                                 |                      |  | show<br>the |
|  |  |              | to<br>(f)  |   |                                      |                                 |                      |  |             |
| (b)  |  |              | App  | Approximate date on which the securities are to be sold |                                      |                                 |                      |  |             |
|  |  |              | (g)  |   |                                      |                                 |                      |  |             |

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Such person s I.R.S. identification number, if such person is an entity

Name of each securities exchange, if any, on which the securities are intended to be sold

(c)

Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)

(d)

Such person s address, including zip code

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (01-04)

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#### TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

| Title of  | Date you |                                    | Name of Person from Whom                       | Amount of              | Date of |                      |
|-----------|----------|------------------------------------|--|------------------------|---------|----------------------|
| the Class | Acquired | Name of Acquisition<br>Transaction | Acquired                                       | Securities<br>Acquired | Payment | Nature of<br>Payment |
|           |          |                                    | (If gift, also give<br>date donor<br>acquired) |                        |         |                      |
| Common    | 9/22/98  | Stock Option                       | Universal Electronics Inc.                     | 70,000                 | N/A     | N/A                  |
| Stock     |          |                                    |  |                        |         |                      |

#### **INSTRUCTIONS:**

1. 2.

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

If within two years after the acquisition of the securities the person for whose account they are to be sold had any short positions, put or other option to dispose of securities referred to in paragraph (d)(3) of Rule 144, furnish full information with respect thereto.

# TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

|                            |                          |                 | Amount of          |                   |
|----------------------------|--------------------------|-----------------|--------------------|-------------------|
| Name and Address of Seller | Title of Securities Sold | Date of<br>Sale | Securities<br>Sold | Gross<br>Proceeds |
| None                       |                          |                 |                    |                   |

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|   |     |            |   |   |    |          |   |

#### **INSTRUCTIONS:**

See the definition of person in paragraph (a) of Rule 144. The person for whose account the securities to which Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

#### **ATTENTION:**

this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed.

August 20,2007 DATE OF NOTICE

/s/ Paul Arling (SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (01-04)