

ZUCKER UZI
Form 4
February 01, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ZUCKER UZI

(Last) (First) (Middle)

870 5TH AVENUE

(Street)

NEW YORK, NY 10021

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

CARNIVAL PLC [CUK]

3. Date of Earliest Transaction
(Month/Day/Year)

01/31/2005

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Trust Shares (beneficial interest in special voting share) ⁽¹⁾	01/31/2005		M	1,200 A	\$ 25.915 61,200	D	
Trust Shares (beneficial interest in special voting	01/31/2005		M	1,200 A	\$ 22.57 62,400	D	

share) ⁽¹⁾Trust
Shares
(beneficial
interest in
special
voting
share) ⁽¹⁾

01/31/2005

M

1,200

A

\$
27.875

63,600

D

Trust
Shares
(beneficial
interest in
special
voting
share) ⁽¹⁾

01/31/2005

M

1,200

A

\$ 34.45

64,800

D

Trust
Shares
(beneficial
interest in
special
voting
share) ⁽¹⁾

01/31/2005

S

1,800

D

\$ 57.45

63,000

D

Trust
Shares
(beneficial
interest in
special
voting
share) ⁽¹⁾

01/31/2005

S

3,000

D

\$ 57.43

60,000

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)		Title

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						Date Exercisable	Expiration Date		Amount or Number of Shares
Stock Option (Right to Buy)	\$ 25.915	01/31/2005	M	1,200	04/17/2004	04/17/2011	Trust Shares (beneficial interest in special voting share) ⁽¹⁾	1,200	
Stock Option (Right to Buy)	\$ 22.57	01/31/2005	M	1,200	10/08/2004	10/08/2011	Trust Shares (beneficial interest in special voting share) ⁽¹⁾	1,200	
Stock Option (Right to Buy)	\$ 27.875	01/31/2005	M	1,200	12/02/2004	12/02/2012	Trust Shares (beneficial interest in special voting share) ⁽¹⁾	1,200	
Stock Option (Right to Buy)	\$ 34.45	01/31/2005	M	1,200	10/13/2004	10/13/2013	Trust Shares (beneficial interest in special voting share) ⁽¹⁾	1,200	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ZUCKER UZI 870 5TH AVENUE NEW YORK, NY 10021	X			

Signatures

Uzi Zucker 01/31/2005

⁽¹⁾Signature of Date
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to

- (1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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