

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC  
Form 13F-HR  
August 12, 2008

OMB APPROVAL

-----  
OMB Number:  
Expires:  
Estimated average burden  
hours per response.....  
-----

SEC USE ONLY  
-----  
-----

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 13F

FORM 13f Cover Page

Report for the Calendar Year or Quarter Ended July 30, 2008

If amended report check here:  Amendment Number: \_\_\_\_\_

This Amendment (Check only one):  is a restatement  
 adds new holding  
entries.

PRIOR FILINGS FOR THE NOMURA GROUP WERE REPORTED UNDER THE NAME NOMURA SECURITIES COMPANY, WITH CIK NUMBER 0000110097. SUBSEQUENT FILINGS WILL BE MADE UNDER THE NAME NOMURA HOLDINGS, INC., CIK NUMBER 0001163653. NOMURA HOLDINGS, INC. (NHI) IS THE PARENT HOLDING COMPANY OF A NUMBER OF OPERATING SUBSIDIARIES, SOME OF WHICH ARE OR MAY BE INSTITUTIONAL INVESTMENT MANAGERS FOR THE PURPOSES OF THE REPORTING REQUIREMENTS UNDER SECTION 13(F) OF THE SECURITIES EXCHANGE ACT OF 1934 (THE ACT) AND THE RULES PROMULGATED THEREUNDER. NHI ITSELF DOES NOT DIRECTLY EXERCISE INVESTMENT DISCRETION WITH RESPECT TO ANY SECTION 13(F) SECURITIES POSITIONS. IN THAT NHI'S OWNERSHIP INTEREST IN SUCH OPERATING SUBSIDIARIES IS DEEMED THE EXERCISE OF INVESTMENT DISCRETION REGARDING CERTAIN OF SUCH SUBSIDIARIES' MANAGED ACCOUNTS, THE INFORMATION REQUIRED BY FORM 13F IS REPORTED BY NHI ON BEHALF OF CERTAIN OF NHI'S OPERATING SUBSIDIARIES WHICH ARE INSTITUTIONAL INVESTMENT MANAGERS SUBJECT TO SECTION 13(F) OF THE ACT.

Nomura Holdings Inc.

-----  
Name of Institutional Investment Manager

1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan

-----  
Business Address (Street) (City) (State) (Zip)

13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Brendan G. Daly Head of Compliance and Surveillance 212-667-1246

Name (Title) (Phone)

/s/ Brendan G. Daly

(Manual Signature of Person Duly Authorized to Submit This Report)

New York, NY August 12, 2008

(Place and Date of Signing)

Report Type:

- [ ] 13F HOLDINGS REPORT.
[ ] 13F NOTICE.
[X] 13F COMBINATION REPORT.

Form 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 4
Form 13F Information Table Entry Total: 106
Form 13F Information Table Value Total: \$299,878 (thousands)

List of Other Included Managers:

Name and 13F file numbers of ALL Institutional Investment Managers with respect to which this schedule is filed (other than the one filing this report): (List in alphabetical order).

13F File No.: Name:

6. 28-05739 Global Funds Management, S.A.

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

2. 28-04985 Nomura International plc  
-----  
4. 28-04993 Nomura Securities (Bermuda)  
-----  
1. 28-04983 Nomura Securities International, Inc.  
-----

1Page 1

FORM 13F  
INFORMATION TABLE  
QUARTER ENDING  
06/30/2008

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PRN	PUT CALL	INVSTMT DISCRETN	OT MAN
OSHIP FINANCE INTERNATIONAL L		G81075106	1,047	000000035468	SH		DEFINED	02
TSAKOS ENERGY NAVIGATION LTD		G9108L108	1,092	000000029459	SH		DEFINED	02
DRYSHIPS INC		Y2109Q101	751	000000009377	SH		DEFINED	02
SEASPAN CORP		Y75638109	682	000000028399	SH		DEFINED	02
AMR CORP		001765106	145	000000028420	SH		DEFINED	04
ALTUS PHARMACEUTICALS INC		02216N105	3,852	000000866624	SH		DEFINED	02
ARMSTRONG WORLD INDS INC NEW		04247X102	389	000000042237	SH		DEFINED	01
AVENTINE RENEWABLE ENERGY		05356X403	156	000000035540	SH		DEFINED	02
BURLINGTON NORTHN SANTA FE C		12189T104	305	000000003057	SH		DEFINED	02
CABLEVISION SYS CORP		12686C109	37	000000014600	SH		DEFINED	01
CAMECO CORP		13321L108	1,050	000000024500	SH		DEFINED	04
CAPSTONE TURBINE CORP		14067D102	268	000000064091	SH		DEFINED	02
CATERPILLAR INC DEL		149123101	1,128	000000015290	SH		DEFINED	02
CISCO SYS INC		17275R102	890	000000038277	SH		DEFINED	02
CITIGROUP INC		172967101	1,352	000000080757	SH		DEFINED	02
COCA COLA CO		191216100	1,277	000000024585	SH		DEFINED	02
COLGATE PALMOLIVE CO		194162103	308	000000004458	SH		DEFINED	02
COMCAST CORP NEW		20030N200	124	000000014243	SH		DEFINED	01
COMVERGE INC		205859101	282	000000020242	SH		DEFINED	02
CONOCOPHILLIPS		20825C104	10,692	000000113283	SH		DEFINED	02
ORE MARK HOLDING CO INC		218681104	361	000000058235	SH		DEFINED	01
CREE INC		225447101	866	000000037977	SH		DEFINED	02
CROCS INC		227046109	932	000000116376	SH		DEFINED	02
DANA HOLDING CORP		235825205	264	000000756382	SH		DEFINED	01
DELTA AIR LINES INC DEL		247361702	40	000000058176	SH		DEFINED	01
ECHELON CORP		27874N105	238	000000021878	SH		DEFINED	02
ENERGY CONVERSION DEVICES IN		292659109	618	000000008404	SH		DEFINED	02
ENERNOC INC		292764107	263	000000014674	SH		DEFINED	02
EVERGREEN SOLAR INC		30033R108	577	000000059594	SH		DEFINED	02
EXELON CORP		30161N101	631	000000007021	SH		DEFINED	02
FTI CONSULTING INC		302941109	87	000000010302	SH		DEFINED	01
EDERAL MOGUL CORP		313549404	2,570	000000419356	SH		DEFINED	01
FIRST SOLAR INC		336433107	644	000000002362	SH		DEFINED	02
FLUOR CORP NEW		343412102	1,007	000000010826	SH		DEFINED	02
FUELCELL ENERGY INC		35952H106	201	000000028404	SH		DEFINED	02
GERDAU S A		373737105	1,899	000000079100	SH		DEFINED	04
GOL LINHAS AEREAS INTLG S A		38045R107	1,327	000000117700	SH		DEFINED	04
GOODYEAR TIRE & RUBR CO		382550101	266	000000034000	SH		DEFINED	01
GOOGLE INC		38259P508	368	000000000700	SH		DEFINED	04

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

GRACE W R & CO DEL NEW	38388F108	71	000000020521	SH	DEFINED	01
GROUP 1 AUTOMOTIVE INC	398905109	221	000000022450	SH	DEFINED	01
HAYES LEMMERZ INTL INC	420781304	458	000000161449	SH	DEFINED	01
HOME DEPOT INC	437076102	669	000000028567	SH	DEFINED	02
HONEYWELL INTL INC	438516106	891	000000017721	SH	DEFINED	02
HORNBECK OFFSHORE SVCS INC N	440543106	100	000000015388	SH	DEFINED	01
HUNTSMAN CORP	447011107	74	000000052991	SH	DEFINED	01

1Page 2  
0

FORM 13F  
INFORMATION TABLE  
QUARTER ENDING  
06/30/2008

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PRN	PUT CALL	INVSTMT DSCRETN	OT MAN
OIDENIX PHARMACEUTICALS INC		45166R204	2,154	000000301476	SH		DEFINED	02
IDEARC INC		451663108	24	000000069000	SH		DEFINED	01
INFOSYS TECHNOLOGIES LTD		456788108	217	000000005000	SH		DEFINED	04
INNOPHOS HOLDINGS INC		45774N108	24	000000012409	SH		DEFINED	01
INTEL CORP		458140100	785	000000036592	SH		DEFINED	02
INTERNATIONAL BUSINESS MACHS		459200101	398	000000003363	SH		DEFINED	02
ISHARES INC		464286400	3,171	000000035515	SH		DEFINED	02
ISHARES INC		464286731	254	000000018000	SH		DEFINED	02
ISHARES TR		464287184	2,138	000000049037	SH		DEFINED	02
ISHARES TR		464287234	2,881	000000063698	SH		DEFINED	02
ISHARES TR		464287390	741	000000013475	SH		DEFINED	02
ISHARES TR		464287655	11,496	000000166500	SH		DEFINED	02
ITRON INC		465741106	898	000000009135	SH		DEFINED	02
JA SOLAR HOLDINGS CO LTD		466090107	609	000000036157	SH		DEFINED	02
JOHNSON & JOHNSON		478160104	746	000000011597	SH		DEFINED	02
KRAFT FOODS INC		50075N104	247	000000008695	SH		DEFINED	02
LDK SOLAR CO LTD		50183L107	591	000000015612	SH		DEFINED	02
LAS VEGAS SANDS CORP		517834107	3,745	000000079550	SH		DEFINED	02
LEHMAN BROS HLDGS INC		524908100	2,018	000000101903	SH		DEFINED	02
LIMITED BRANDS INC		532716107	292	000000017337	SH		DEFINED	02
MEMC ELECTR MATLS INC		552715104	632	000000010271	SH		DEFINED	02
MCDONALDS CORP		580135101	436	000000007765	SH		DEFINED	02
EDCATH CORP		58404W109	173	000000021747	SH		DEFINED	01
MEDIS TECHNOLOGIES LTD		58500P107	203,482	000000066979	SH		DEFINED	02
MERCK & CO INC		589331107	753	000000019994	SH		DEFINED	02
MERRILL LYNCH & CO INC		590188108	1,849	000000058328	SH		DEFINED	02
MIRANT CORP NEW		60467R100	315	000000034530	SH		DEFINED	01
MONEYGRAM INTL INC		60935Y109	135	000000150000	SH		DEFINED	01
MOTOROLA INC		620076109	622	000000084834	SH		DEFINED	02
NRG ENERGY INC		629377508	50	000000017350	SH		DEFINED	01
NORTHWEST AIRLS CORP		667280408	16	000000025103	SH		DEFINED	01
ORMAT TECHNOLOGIES INC		686688102	494	000000010071	SH		DEFINED	02
OVERSEAS SHIPHOLDING GROUP I		690368105	1,234	000000015523	SH		DEFINED	02
OWENS CORNING NEW		690742101	368	000000133862	SH		DEFINED	01
PANTRY INC		698657103	21	000000031829	SH		DEFINED	01
PEPSICO INC		713448108	312	000000004916	SH		DEFINED	02
PFIZER INC		717081103	228	000000013097	SH		DEFINED	02
PLUG POWER INC		72919P103	182	000000077766	SH		DEFINED	02
POTASH CORP SASK INC		73755L107	342	000000001500	SH		DEFINED	04
POWER INTEGRATIONS INC		739276103	896	000000028352	SH		DEFINED	02
PROCTER & GAMBLE CO		742718109	1,124	000000018497	SH		DEFINED	02
SPDR SERIES TRUST		78464A888	1,702	000000103609	SH		DEFINED	02
SANDISK CORP		80004C101	727	000000038927	SH		DEFINED	02
SOLUTIA INC		834376501	728	000000258418	SH		DEFINED	01
SUNPOWER CORP		867652109	1,049	000000014582	SH		DEFINED	02
SUNTECH PWR HLDGS CO LTD		86800C104	628	000000016785	SH		DEFINED	02

1Page 3

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

0

FORM 13F  
 INFORMATION TABLE  
 QUARTER ENDING  
 06/30/2008

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PRN	PUT CALL	INVTMT DSCRETN	OT MAN
OTEXAS INSTRS INC		882508104	204	000000007247	SH		DEFINED	02
3M CO		88579Y101	230	000000003308	SH		DEFINED	02
TIME WARNER INC		887317105	993	000000067158	SH		DEFINED	02
TIME WARNER CABLE INC		88732J108	167	000000025913	SH		DEFINED	01
TYSON FOODS INC		902494103	1,057	000000070790	SH		DEFINED	02
VCA ANTECH INC		918194101	681	000000024520	SH		DEFINED	02
VERASUN ENERGY CORP		92336G106	372	000000132088	SH		DEFINED	02
VERENIUM CORPORATION		92340P100	147	000000076234	SH		DEFINED	02
VERIZON COMMUNICATIONS INC		92343V104	7	000000000200	SH		DEFINED	04
VIRGIN MEDIA INC		92769L101	110	000000030556	SH		DEFINED	01
WELLS FARGO & CO NEW		949746101	891	000000037526	SH		DEFINED	02
WINN DIXIE STORES INC		974280307	118	000000019659	SH		DEFINED	01
YINGLI GREEN ENERGY HLDG CO		98584B103	577	000000036299	SH		DEFINED	02
ZOLTEK COS INC		98975W104	880	000000036292	SH		DEFINED	02