

3M CO  
Form 3  
November 21, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |          |                                      |  |  |
|---|---------|----------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         |          | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                         |  |
| Â Little Frank R Jr.                      |         |          | (Month/Day/Year)                     | 3M CO [MMM]  |  |
| (Last)                                    | (First) | (Middle) | 11/15/2013                           | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| 3M CENTER                                 |         |          |                                      | (Check all applicable)   |  |
| (Street)                                  |         |          |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| ST. PAUL,Â MNÂ 55144-1000                 |         |          |                                      | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| (City)                                    | (State) | (Zip)    |                                      | (give title below) (specify below)   | <input type="checkbox"/> Form filed by More than One Reporting Person  |
|   |         |          |                                      | Executive Vice President   |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 247   | D  | Â   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|  | Date Exercisable   | Title   | Amount or Number of                                    |  |   |
|  | Expiration Date  |   |  |  |   |

## Edgar Filing: 3M CO - Form 3

|   |               |            |              | Shares |           | (I)<br>(Instr. 5) |   |
|---|---------------|------------|--------------|--------|-----------|-------------------|---|
| Restricted Stock Units                        | Â (1)         | Â (1)      | Common Stock | 394    | \$ (2)    | D                 | Â |
| Restricted Stock Units                        | Â (3)         | Â (3)      | Common Stock | 7,466  | \$ (2)    | D                 | Â |
| Non-qualified Stock Option (Right to Buy) (4) | 02/05/2014(4) | 02/03/2023 | Common Stock | 9,795  | \$ 101.49 | D                 | Â |
| Non-qualified Stock Option (Right to Buy) (5) | 02/07/2014(5) | 02/07/2022 | Common Stock | 8,332  | \$ 87.89  | D                 | Â |
| Non-qualified Stock Option (Right to Buy)     | 02/08/2014    | 02/08/2021 | Common Stock | 2,146  | \$ 89.47  | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                             | Relationships |           |                            |       |
|--|---------------|-----------|----------------------------|-------|
|  | Director      | 10% Owner | Officer                    | Other |
| Little Frank R Jr.<br>3M CENTER<br>ST. PAUL, MN 55144-1000 | Â             | Â         | Â Executive Vice President | Â     |

## Signatures

/s/ George Ann Biros, attorney-in-fact for Frank R. Little 11/21/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units will vest 100% on 1/2/2014.
- (2) Each restricted stock unit represents a contingent right to receive one share of 3M common stock.
- (3) The restricted stock units will vest in equal installments on 6/1/2015 and 6/1/2017.
- (4) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/5/2013).
- (5) These options becomes exercisable in equal installments on 2/7/2014 and 2/7/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.