

FERRO CORP  
Form 4  
February 24, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Duesenberg Mark Hugo

(Last) (First) (Middle)

6060 PARKLAND BOULEVARD

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
FERRO CORP [FOE]

3. Date of Earliest Transaction (Month/Day/Year)  
02/20/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)  | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|----------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                  |                                      |  | Code                           | V Amount (D) Price  |   |  |  |
| Common Stock                     | 02/24/2014                           |  | F                              | 3,358 D \$ 13.09  | 21,735 <sup>(1)</sup>   | D  |  |
| Common Stock - Restricted Shares |                                      |  |                                |   | 0 <sup>(1)</sup>  | D  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |                 |              |                         |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|---|-----------------|--------------|-------------------------|
|  |  |                                      |  | Code                           | V   | (A)  | (D) | Date Exercisable  | Expiration Date | Title        | Amount Number of Shares |
| Restricted Share Unit                      | \$ 0   | 02/20/2014                           |  | A                              |   | 8,100  |     | 02/20/2017  | 02/20/2017      | Common Stock | 8                       |
| Stock Options (Right to Buy)               | \$ 13.09   | 02/20/2014                           |  | A                              |   | 16,700   |     | 02/20/2015  | 02/20/2024      | Common Stock | 1                       |
| Performance Share Unit                     | \$ 0   | 02/20/2014                           |  | A                              |   | 20,100   |     | <u>(2)</u>  | 12/31/2016      | Common Stock | 2                       |
| Performance Share Unit                     | \$ 0   |                                      |  |                                |   |  |     | <u>(2)</u>  | 12/31/2014      | Common Stock | 4                       |
| Performance Share Unit                     | \$ 0   |                                      |  |                                |   |  |     | <u>(2)</u>  | 12/31/2015      | Common Stock | 6                       |
| Phantom Shares                             | <u>(3)</u>   |                                      |  |                                |   |  |     | <u>(3)</u>  | <u>(3)</u>      | Common Stock | 15,0                    |
| Restricted Share Unit                      | \$ 0   |                                      |  |                                |   |  |     | 02/23/2015  | 02/23/2015      | Common Stock | 1                       |
| Restricted Share Unit                      | \$ 0   |                                      |  |                                |   |  |     | 02/23/2016  | 02/23/2016      | Common Stock | 2                       |
| Stock Options (Right to Buy)               | \$ 21.28   |                                      |  |                                |   |  |     | 09/17/2009  | 09/17/2018      | Common Stock | 2                       |
| Stock Options (Right to Buy)               | \$ 8.25  |                                      |  |                                |   |  |     | 02/25/2011  | 02/25/2020      | Common Stock | 3                       |
| Stock Options (Right to Buy)               | \$ 1.37  |                                      |  |                                |   |  |     | 02/25/2010  | 02/25/2019      | Common Stock | 3                       |
| Stock Options (Right to Buy)               | \$ 15.16   |                                      |  |                                |   |  |     | 02/24/2012  | 02/24/2021      | Common Stock | 3                       |

Buy)

Stock

Options (Right to Buy) \$ 6.84

02/23/2013 02/23/2022

Common Stock

3

Stock

Options (Right to Buy) \$ 5.29

02/21/2014 02/21/2023

Common Stock

5

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                 |       |
|---|---------------|-----------|-----------------|-------|
|   | Director      | 10% Owner | Officer         | Other |
| Duesenberg Mark Hugo<br>6060 PARKLAND BOULEVARD<br>MAYFIELD HEIGHTS, OH 44124 |               |           | General Counsel |       |

## Signatures

/s/ John T. Bingle, Treasurer, by Power of Attorney

02/24/2014

Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Balance includes vesting of restricted shares net of forfeiture for tax liability.

(2) Performance Share Units granted as a performance award, vesting based upon degree of achievement of performance goal. At the end of the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.

(3) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.

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