

CORNING INC /NY  
Form 3  
May 09, 2014

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Kinlin Clark S		(Month/Day/Year)	CORNING INC /NY [GLW]	
(Last)	(First)	(Middle)	05/01/2014	
ONE RIVERFRONT PLAZA			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
CORNING,Â NYÂ 14831			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Executive Vice President	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
------------------------------------	--	---	--

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Edgar Filing: CORNING INC /NY - Form 3

Stock Options (Right to Buy)	12/07/2006	12/06/2015	Common Stock	21,500	\$ 21.08	D	Â
Stock Options (Right to Buy)	01/03/2007	01/02/2015	Common Stock	16,250	\$ 11.84	D	Â
Stock Options (Right to Buy)	12/06/2007	12/05/2016	Common Stock	18,000	\$ 21.89	D	Â
Stock Options (Right to Buy)	01/02/2008	01/01/2016	Common Stock	10,750	\$ 19.68	D	Â
Stock Options (Right to Buy)	02/01/2008	01/31/2015	Common Stock	16,250	\$ 10.98	D	Â
Stock Options (Right to Buy)	12/05/2008	12/04/2017	Common Stock	22,000	\$ 24.92	D	Â
Stock Options (Right to Buy)	01/02/2009	01/01/2017	Common Stock	9,000	\$ 18.85	D	Â
Stock Options (Right to Buy)	02/01/2009	01/31/2016	Common Stock	10,750	\$ 24.72	D	Â
Stock Options (Right to Buy)	12/03/2009 <sup>(1)</sup>	12/02/2018	Common Stock	39,666	\$ 8.67	D	Â
Stock Options (Right to Buy)	12/03/2009	12/02/2018	Common Stock	500	\$ 8.67	D	Â
Stock Options (Right to Buy)	01/02/2010	01/01/2018	Common Stock	11,000	\$ 23.37	D	Â
Stock Options (Right to Buy)	01/02/2010 <sup>(2)</sup>	01/01/2019	Common Stock	39,667	\$ 10.05	D	Â
Stock Options (Right to Buy)	02/01/2010	01/31/2017	Common Stock	9,000	\$ 20.86	D	Â
Stock Options (Right to Buy)	02/02/2010 <sup>(3)</sup>	02/01/2019	Common Stock	39,667	\$ 10.25	D	Â
Stock Options (Right to Buy)	12/02/2010 <sup>(4)</sup>	12/01/2019	Common Stock	9,666	\$ 17.82	D	Â
Stock Options (Right to Buy)	01/04/2011 <sup>(5)</sup>	01/03/2020	Common Stock	9,667	\$ 19.56	D	Â
Stock Options (Right to Buy)	02/01/2011	01/31/2018	Common Stock	11,000	\$ 24.61	D	Â
Stock Options (Right to Buy)	02/01/2011 <sup>(6)</sup>	01/31/2020	Common Stock	9,667	\$ 18.16	D	Â
Stock Options (Right to Buy)	01/03/2012 <sup>(7)</sup>	01/02/2021	Common Stock	10,615	\$ 19.19	D	Â
Stock Options (Right to Buy)	02/01/2012 <sup>(8)</sup>	01/31/2021	Common Stock	8,978	\$ 22.69	D	Â
	03/01/2012 <sup>(9)</sup>	02/28/2021		9,247	\$ 22.03	D	Â

Edgar Filing: CORNING INC /NY - Form 3

Stock Options (Right to Buy)			Common Stock				
Stock Options (Right to Buy)	01/03/2015	01/02/2022	Common Stock	19,971	\$ 13.04	D	Â
Stock Options (Right to Buy)	02/01/2015	01/31/2022	Common Stock	20,187	\$ 12.9	D	Â
Stock Options (Right to Buy)	03/01/2015	02/28/2022	Common Stock	20,078	\$ 12.97	D	Â
Stock Options (Right to Buy)	07/18/2015	07/17/2022	Common Stock	12,611	\$ 12.39	D	Â
Stock Options (Right to Buy)	03/28/2016	03/27/2023	Common Stock	26,792	\$ 13.33	D	Â
Stock Options (Right to Buy)	04/30/2016	04/29/2023	Common Stock	24,631	\$ 14.5	D	Â
Stock Options (Right to Buy)	05/31/2016	05/30/2023	Common Stock	23,236	\$ 15.37	D	Â
Stock Options (Right to Buy)	03/31/2017	03/30/2024	Common Stock	10,507	\$ 20.82	D	Â
Stock Options (Right to Buy)	04/30/2017	04/29/2024	Common Stock	10,462	\$ 20.91	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kinlin Clark S ONE RIVERFRONT PLAZA CORNING, NY 14831	Â	Â	Â Executive Vice President	Â

## Signatures

Linda E. Jolly, Power of Attorney 05/09/2014

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest in three equal annual installments beginning on December 3, 2009.
  - (2) The options vest in three equal annual installments beginning on January 2, 2010.
  - (3) The options vest in three equal annual installments beginning on February 2, 2010.
  - (4) The options vest in three equal annual installments beginning on December 2, 2010.
  - (5) The options vest in three equal annual installments beginning on January 4, 2011.

Edgar Filing: CORNING INC /NY - Form 3

- (6) The options vest in three equal annual installments beginning on February 1, 2011.
- (7) The options vest in three equal annual installments beginning on January 3, 2012.
- (8) The options vest in three equal annual installments beginning on February 1, 2012.
- (9) The options vest in three equal annual installments beginning on March 1, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.