Edgar Filing: YUM BRANDS INC - Form 4

YUM BRA	NDS INC									
Form 4										
February 08	3, 2016									
FORM		STATES	SECU	DITIES				т	PPROVAL	
	UNITED	STATES						OMB Number:	3235-0287	
Check t	his box									
if no lor	- NIATHA	AENT OF	F CHAI	NGES IN	WNERSHIP OF	Expires:	January 31, 2005			
subject Section	10			SECUI	Estimated	Estimated average burden hours per				
Form 4								response	•	
Form 5 obligati							nge Act of 1934,			
may con				•	•	• •	of 1935 or Section	on		
See Inst	ruction	30(h)	of the I	nvestment	t Compar	ny Act of 1	940			
1(b).										
(Print or Type	Responses)									
	Address of Reporting NATHAN DAVII	2. Issue Symbol	er Name an	d Ticker or	Trading	5. Relationship of Reporting Person(s) to Issuer				
22011100		-	•	BRANDS	INC IYI	IMI				
(Lost)	(First)	Middle)			-	0101	(Check all applicable)			
(Last)	(First) (wildule)		of Earliest T Day/Year)	ransaction		Director 10% Owner			
			02/05/2	-			X Officer (give title Other (specify			
						below) below) SVP and Chief Public Affairs				
			4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
			Filed(Mo	onth/Day/Yea	ur)		Applicable Line)			
LOUISVII	LE, KY 40213						_X_ Form filed by Form filed by Person	One Reporting P More than One R		
(City)	(State)	(Zip)	Təl	de I - Non-l	Dorivativa	Securities A	cquired, Disposed	of or Bonoficia	lly Owned	
1 77.41 0									-	
1.Title of Security	2. Transaction Date (Month/Day/Year)	Execution Date, if any		3. 4. Securities TransactionAcquired (A) or				6. Ownership Form: Direct	7. Nature of Indirect	
(Instr. 3)	()			Code	Disposed			(D) or Indirect		
		(Month/Da	(Month/Day/Year)		(Instr. 3, 4	4 and 5)	Owned Following	(I) (Instr. 4)	Ownership (Instr. 4)	
							Reported	(11150.4)	(111501.4)	
						(A) or	Transaction(s)			
				Code V	Amount	(D) Price	(Instr. 3 and 4)			
Reminder: Re	port on a separate line	e for each cla	ass of sec	urities bene	ficially ow	ned directly o	or indirectly.			
							spond to the colle		SEC 1474 (9-02)	

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securitie
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: YUM BRANDS INC - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	(Instr. 8) Acquired (or Dispose (D) (Instr. 3, 4 and 5)		ed of	d of			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Stock Appreciation Right	\$ 69.76	02/05/2016		А		49,123		<u>(1)</u>	02/05/2026	Common Stock	49,12

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
BLUM JONATHAN DAVID 1441 GARDINER LANE LOUISVILLE, KY 40213			SVP and Chief Public Affairs	
Signatures				

/s/ M. Gayle Hobson, POA 02/08/2016 **Signature of Reporting

Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Vesting occurs 25% per year beginning one year from grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.