

WILLIAMS SONOMA INC
Form 4
April 20, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Brett James W. Jr.

2. Issuer Name and Ticker or Trading Symbol

WILLIAMS SONOMA INC [WSM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

04/18/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

PRESIDENT WEST ELM BRAND

3250 VAN NESS AVE.

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person

____ Form filed by More than One Reporting Person

SAN FRANCISCO, CA 94109

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	04/18/2017		M		10,630 A \$ 0	35,608	D
Common Stock	04/18/2017		F		5,934 (1) D \$ 54.12	29,674	D
Common Stock	04/20/2017		M		5,718 A \$ 0	35,392	D
Common Stock	04/20/2017		F		3,192 (1) D \$ 54.4	32,200	D
Common Stock	04/20/2017		M		3,268 A \$ 0	35,468	D

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Common Stock 04/20/2017 F 1,825⁽¹⁾ D \$ 54.4 33,643 D

Common Stock 2,249 I By Managed Account (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Restricted Stock Units	<u>(3)</u>	04/18/2017		M	10,630	<u>(4)</u> <u>(5)</u>	Common Stock	10,630
Restricted Stock Units	<u>(3)</u>	04/20/2017		M	5,718	<u>(6)</u> <u>(5)</u>	Common Stock	5,718
Restricted Stock Units	<u>(3)</u>	04/20/2017		M	3,268	<u>(6)</u> <u>(5)</u>	Common Stock	3,268

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brett James W. Jr. 3250 VAN NESS AVE. SAN FRANCISCO, CA 94109			PRESIDENT WEST ELM BRAND	

Signatures

/s/ Phil Louridas, Attorney-in-Fact for James W.
Brett

04/20/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares withheld upon vesting of restricted stock units to cover tax withholding obligations.
 - (2) Represents the number of shares held by the reporting person in the Williams-Sonoma, Inc. Stock Fund under the Williams-Sonoma, Inc. 401(k) Plan, based on a statement dated April 19, 2017.
 - (3) Each restricted stock unit represents a contingent right to receive one share of WSM common stock.
 - (4) The restricted stock units vest in four equal installments on each anniversary of the grant date in 2017, 2018, 2019, and 2020. Vesting of these units is subject to a performance metric.
 - (5) The restricted stock units are cancelled upon vesting and delivery of shares of WSM common stock.
 - (6) The restricted stock units vest in four equal installments on each anniversary of the grant date in 2016, 2017, 2018, and 2019. Vesting of these units is subject to a performance metric.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.