

Abraham Dana H
Form 4
August 20, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Abraham Dana H

2. Issuer Name and Ticker or Trading Symbol
UMB FINANCIAL CORP [UMBF]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

1010 GRAND BLVD.

08/17/2018

Executive Vice President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

KANSAS CITY, MO 64106

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 08/17/2018 | | S | | 100 | D | \$ 76.075 |
| Common Stock | 08/17/2018 | | S | | 200 | D | \$ 76.08 |
| Common Stock | 08/17/2018 | | S | | 100 | D | \$ 76.09 |
| Common Stock | 08/17/2018 | | S | | 300 | D | \$ 76.12 |
| Common Stock | 08/17/2018 | | S | | 100 | D | \$ 76.13 |

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| | | | | | | | | | |
|--------------|------------|---|-------|---|----------|-------------|---|--|---------|
| Common Stock | 08/17/2018 | S | 200 | D | \$ 76.14 | 14,241.2179 | D | | |
| Common Stock | 08/17/2018 | S | 100 | D | \$ 76.15 | 14,141.2179 | D | | |
| Common Stock | 08/17/2018 | S | 200 | D | \$ 76.16 | 13,941.2179 | D | | |
| Common Stock | 08/17/2018 | S | 198 | D | \$ 76.17 | 13,743.2179 | D | | |
| Common Stock | 08/17/2018 | S | 100 | D | \$ 76.18 | 13,643.2179 | D | | |
| Common Stock | 08/17/2018 | S | 298 | D | \$ 76.19 | 13,345.2179 | D | | |
| Common Stock | 08/17/2018 | S | 90 | D | \$ 76.2 | 13,255.2179 | D | | |
| Common Stock | 08/17/2018 | S | 1,010 | D | \$ 76.21 | 12,245.2179 | D | | |
| Common Stock | 08/17/2018 | S | 700 | D | \$ 76.22 | 11,545.2179 | D | | |
| Common Stock | 08/17/2018 | S | 300 | D | \$ 76.23 | 11,245.2179 | D | | |
| Common Stock | 08/17/2018 | S | 200 | D | \$ 76.24 | 11,045.2179 | D | | |
| Common Stock | | | | | | 289.4522 | I | | By ESOP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | | | Title | | | |

Date Exercisable Expiration Date Amount or Number of Shares

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Abraham Dana H 1010 GRAND BLVD. KANSAS CITY, MO 64106 | | | Executive Vice President | |

Signatures

/s/ Megan L. Mercer, attorney-in-fact for Ms. Abraham 08/20/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.