

RANKIN CORBIN

Form 4

November 20, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN CORBIN

2. Issuer Name **and** Ticker or Trading
Symbol
HYSTER-YALE MATERIALS
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

MAYFIELD HEIGHTS, OH 44124

(City)

(State)

(Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
11/15/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

____ Director ____ 10% Owner
____ Officer (give title ____X____ Other (specify
below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/15/2018		G	V 266 A \$ 0	499	I	Spouse's proportionate interest in shares held by RAV
Class A Common Stock	11/15/2018		G	V 8,435 A \$ 0	22,336	I	Spouse serves as trustee for GSTs for the benefit of James T. Rankin
	11/15/2018		G	V 8,435 A \$ 0	22,336	I	

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Class A Common Stock									Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	11/15/2018	G	V	8,435	A	\$ 0	22,336	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	11/16/2018	P		67 ⁽¹⁾	A	\$ 63.3146	149,940	I	spouse serves as Trustee of a Trust for the benefit of Thomas T. Rankin ⁽²⁾
Class A Common Stock	11/16/2018	P		2 ⁽¹⁾	A	\$ 63.31 ₍₃₎	501	I	Spouse's proportionate interest in shares held by RAV
Class A Common Stock	11/16/2018	P		34 ⁽¹⁾	A	\$ 63.31 ₍₃₎	22,370	I	Spouse serves as trustee for GSTs for the benefit of James T. Rankin
Class A Common Stock	11/16/2018	P		34 ⁽¹⁾	A	\$ 63.31 ₍₃₎	22,370	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	11/16/2018	P		34 ⁽¹⁾	A	\$ 63.31 ₍₃₎	22,370	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	11/16/2018	P		60 ⁽¹⁾	A	\$ 63.31 ₍₃₎	22,430	I	Spouse serves as trustee for GSTs for the benefit of James T. Rankin

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Class A Common Stock	11/16/2018	P	60 <u>(1)</u>	A	\$ <u>63.31</u> (3)	22,430	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	11/16/2018	P	60 <u>(1)</u>	A	\$ <u>63.31</u> (3)	22,430	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	11/16/2018	P	1 <u>(1)</u>	A	\$ <u>63.31</u> (3)	200	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/16/2018	P	2 <u>(1)</u>	A	\$ <u>63.31</u> (3)	433	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/19/2018	P	67 <u>(1)</u>	A	\$ 63.7977	150,007	I	spouse serves as Trustee of a Trust for the benefit of Thomas T. Rankin <u>(2)</u>
Class A Common Stock	11/19/2018	P	2 <u>(1)</u>	A	\$ 63.71	503	I	Spouse's proportionate interest in shares held by RAV
Class A Common Stock	11/19/2018	P	30 <u>(1)</u>	A	\$ 63.71	22,460	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	11/19/2018	P	30 <u>(1)</u>	A	\$ 63.71	22,460	I	Spouse serves as trustee for GSTs for the benefit of James T.

Class A Common Stock	11/19/2018	P	30 ⁽¹⁾	A	\$ 63.71	22,460	I	Rankin
								Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock						3,622	D	
Class A Common Stock						14,343	I	Reporting Person's LP interest in shares held in RA1
Class A Common Stock						1,843	I	Reporting person's proportionate interests in shares held by Rankin Associates II, L.P
Class A Common Stock						7	I	Held by Spouse
Class A Common Stock						19	I	spouse's proportionate general partnership interest in shares of RA IV LP held by the Trust ⁽⁴⁾
Class A Common Stock						25,324	I	spouse's proportionate partnership interest in shares held by RA I LP ⁽⁴⁾
Class A Common Stock						34,849	I	spouse's proportionate limited partnership interest in shares held by Rankin

Class A Common Stock	65,824	I	Associates II, L.P ⁽⁴⁾ Spouses proportionate LP interest in shares held in RA4 ⁽⁴⁾
Class A Common Stock	59	I	Spouse's proportionate Interest in shares held by RAV held by Rankin Management
Class A Common Stock	68	I	Spouse's Proportionate Interest in shares held by RAVI held by Rankin Management
Class A Common Stock	1,975	I	Spouse's proportionate interest in shares held in RMI ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

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Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	3,622
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	25,657
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	1,843
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	7
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	31
	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>		45,300

Class B
Common
Stock

Class A
Common
Stock

Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	34,849
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Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	104,286
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Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	145,912
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Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	1,975
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN CORBIN 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact	11/20/2018
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__Signature of Reporting Person	Date
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Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2018-Nov-16-Weighted Average - Share Price represents average price between \$62.90 and \$63.50.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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