

RANKIN CHLOE O

Form 4

December 03, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN CHLOE O

2. Issuer Name **and** Ticker or Trading
Symbol
HYSTER-YALE MATERIALS
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/29/2018

____ Director ____ 10% Owner
____ Officer (give title ____X____ Other (specify
below) below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/29/2018		P	2 ⁽¹⁾ A	\$ 64.6 ⁽²⁾ 515	I	Spouse's Interest in Shares held by Rankin Associates V
Class A Common Stock	11/29/2018		P	35 ⁽¹⁾ A	\$ 64.6 ⁽²⁾ 23,005	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
	11/29/2018		P	35 ⁽¹⁾ A	23,005	I	

Edgar Filing: RANKIN CHLOE O - Form 4

Class A Common Stock					\$ 64.6 <u>(2)</u>			Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	11/29/2018	P	35 <u>(1)</u>	A	\$ 64.6 <u>(2)</u>	23,005	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	11/29/2018	P	1 <u>(1)</u>	A	\$ 64.6 <u>(2)</u>	71	I	Spouse's interest in shares held by RA6 held by Rankin Management
Class A Common Stock	11/29/2018	P	60 <u>(1)</u>	A	\$ 64.6 <u>(2)</u>	23,065	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	11/29/2018	P	60 <u>(1)</u>	A	\$ 64.6 <u>(2)</u>	23,065	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	11/29/2018	P	60 <u>(1)</u>	A	\$ 64.6 <u>(2)</u>	23,065	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	11/29/2018	P	1 <u>(1)</u>	A	\$ 64.6 <u>(2)</u>	207	I	Spouse's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	11/29/2018	P	2 <u>(1)</u>	A	\$ 64.6 <u>(2)</u>	449	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common	11/30/2018	P	2 <u>(1)</u>	A	\$ 64.88	517	I	Spouse's Interest in

Edgar Filing: RANKIN CHLOE O - Form 4

Stock					(3)			Shares held by Rankin Associates V
Class A Common Stock	11/30/2018	P	34 (1)	A	\$ 64.88 (3)	23,099	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	11/30/2018	P	34 (1)	A	\$ 64.88 (3)	23,099	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	11/30/2018	P	34 (1)	A	\$ 64.88 (3)	23,099	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	11/30/2018	P	60 (1)	A	\$ 64.88 (3)	23,159	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	11/30/2018	P	60 (1)	A	\$ 64.88 (3)	23,159	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	11/30/2018	P	60 (1)	A	\$ 64.88 (3)	23,159	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	11/30/2018	P	1 (1)	A	\$ 64.88 (3)	208	I	Spouse's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	11/30/2018	P	3 (1)	A	\$ 64.88 (3)	452	I	Proportionate interest in shares held by Rankin

			Associates VI
Class A Common Stock	35,857	I	proportionate LP interest in shares held by RA1
Class A Common Stock	2,116	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	2,283	I	serves as Trustee of a Trust for the benefit of Chloe O. Rankin
Class A Common Stock	19	I	spouses proportionate GP interest in shares of RA IV ⁽⁴⁾
Class A Common Stock	14,567	I	spouse proportionate limited partnership interest in shares held by RA I LP ⁽⁴⁾
Class A Common Stock	35,628	I	spouse's proportionate interest in shares held by RAII ⁽⁴⁾
Class A Common Stock	65,824	I	proportionate limited partnership interest in shares held by Rankin Associates IV, L.P ⁽⁴⁾
Class A Common Stock	1,975	I	spouse's proportionate interest in

Class A Common Stock	61	I	shares held by Rankin Management, Inc. ("RMI") ⁽⁴⁾ Spouse's interest in shares held by RA5 held by Rankin Management
Class A Common Stock	132,073	I	spouse serves as Trustee of a Trust for the benefit of Claiborne R. Rankin ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code	V	(A)	(D)	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock 64,143
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock 2,116

Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	2,783
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	31
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	26,057
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	35,628
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	104,286
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	1,975
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	123,760

Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	6,889
Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	6,889
Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	6,889

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN CHLOE O 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

12/03/2018

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-29-Weighted Average - Share Price represents average price between \$64.10 and \$64.99.
- (3) 2018-Nov-30-Weighted Average - Share Price represents average price between \$64.31 and \$64.99.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.