

WILLIAMS DAVID B
Form 4
March 19, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
WILLIAMS DAVID B

2. Issuer Name **and** Ticker or Trading
Symbol
**HYSTER-YALE MATERIALS
HANDLING, INC. [HY]**

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/18/2019

____ Director ____ 10% Owner
____ Officer (give title ____X____ Other (specify
below) below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	03/18/2019		P	267 ⁽¹⁾ A	\$ 63.9518 103,772	I	Held by trust for the benefit of Reporting Person's Spouse ⁽²⁾
Class A Common Stock	03/18/2019		P	1 ⁽¹⁾ A	\$ 64.08 ⁽³⁾ 97	I	Spouse's proportionate interests in shares held by Rankin Associates V ⁽²⁾

Edgar Filing: WILLIAMS DAVID B - Form 4

Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ <u>62.94</u> (4)	489	I	Spouse's proportionate interest in shares held by Rankin Associates VI (2)
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ <u>62.94</u> (4)	581	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ <u>62.94</u> (4)	580	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ <u>62.94</u> (4)	580	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ <u>64.08</u> (3)	490	I	Spouse's proportionate interest in shares held by Rankin Associates VI (2)
Class A Common Stock	03/18/2019	P	2 <u>(1)</u>	A	\$ <u>64.08</u> (3)	583	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/18/2019	P	2 <u>(1)</u>	A	\$ <u>64.08</u> (3)	582	I	Child's proportionate interest in shares held by Rankin Associates VI
	03/18/2019	P	2 <u>(1)</u>	A		582	I	

Edgar Filing: WILLIAMS DAVID B - Form 4

Class A Common Stock					\$ 64.08 <u>(3)</u>			Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ 64.88 <u>(5)</u>	491	I	Spouse's proportionate interest in shares held by Rankin Associates VI <u>(2)</u>
Class A Common Stock	03/19/2019	P	267 <u>(1)</u>	A	\$ 64.5246	104,039	I	Held by trust for the benefit of Reporting Person's Spouse <u>(2)</u>
Class A Common Stock	03/19/2019	P	3 <u>(1)</u>	A	\$ 64.52 <u>(6)</u>	494	I	Spouse's proportionate interest in shares held by Rankin Associates VI <u>(2)</u>
Class A Common Stock	03/19/2019	P	3 <u>(1)</u>	A	\$ 64.52 <u>(6)</u>	586	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/19/2019	P	3 <u>(1)</u>	A	\$ 64.52 <u>(6)</u>	585	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/19/2019	P	3 <u>(1)</u>	A	\$ 64.52 <u>(6)</u>	585	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						81,009	I	Spouse's proportionate partnership

				interest shares held by AMR Associates LP
Class A Common Stock	49,811	I		Spouse's proportionate interests in shares held by Rankin Associates I. <u>(2)</u>
Class A Common Stock	11,750	I		Spouse's proportionate interests in shares held by Rankin Associates II. <u>(2)</u>
Class A Common Stock	32,369	I		Spouse's proportionate interests in shares held by Rankin Associates IV. <u>(2)</u>
Class A Common Stock	3,162	D		
Class A Common Stock	7,104	I		proportionate LP interest in shares held by RA II, L.P
Class A Common Stock	677	I		Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	9,945	I		Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(2)</u>
Class A Common	2,983	I		Reporting Person is

Stock						Trustee of a Trust for the benefit of Reporting Person's minor child <u>(2)</u>
Class A Common Stock		677	I			Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock		8,570	I			Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(2)</u>
Class A Common Stock		4,357	I			Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child <u>(2)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
--	--	--------------------------------------	--	--------------------------------	---	--	---	--

Edgar Filing: WILLIAMS DAVID B - Form 4

4, and 5)

				Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Code	V	(A)	(D)				
Class B Common Stock	(7)			(7)	(7)	Class A Common Stock	94,355
Class B Common Stock	(7)			(7)	(7)	Class A Common Stock	89,105
Class B Common Stock	(7)			(7)	(7)	Class A Common Stock	11,750
Class B Common Stock	(7)			(7)	(7)	Class A Common Stock	51,283
Class B Common Stock	(7)			(7)	(7)	Class A Common Stock	58,586
Class B Common Stock	(7)			(7)	(7)	Class A Common Stock	2,332
Class B Common Stock	(7)			(7)	(7)	Class A Common Stock	7,104
Class B Common Stock	(7)			(7)	(7)	Class A Common Stock	790

Class B Common Stock	<u>(7)</u>	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	9,945
----------------------------	------------	------------	------------	----------------------------	-------

Class B Common Stock	<u>(7)</u>	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	2,152
----------------------------	------------	------------	------------	----------------------------	-------

Class B Common Stock	<u>(7)</u>	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	790
----------------------------	------------	------------	------------	----------------------------	-----

Class B Common Stock	<u>(7)</u>	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	8,570
----------------------------	------------	------------	------------	----------------------------	-------

Class B Common Stock	<u>(7)</u>	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	3,528
----------------------------	------------	------------	------------	----------------------------	-------

Reporting Owners

Reporting Owner Name / Address

Relationships

Edgar Filing: WILLIAMS DAVID B - Form 4

Director 10% Owner Officer Other

WILLIAMS DAVID B
5875 LANDERBROOK DRIVE
MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

03/19/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2019-Mar-18 -Block 2 Weighted Average- Share Price represents average price between \$63.54 and \$64.53.
- (4) 2019-Mar-18 -Block 1 Weighted Average- Share Price represents average price between \$62.44 and \$63.36.
- (5) 2019-Mar-18 -Block 3 Weighted Average- Share Price represents average price between \$64.56 and \$64.94.
- (6) 2019-Mar-19-Weighted Average - Share Price represents average price between \$64.26 and \$64.99.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.