#### LUCZO STEPHEN J

Form 4 July 26, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* LUCZO STEPHEN J

Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

Seagate Technology plc [STX] (Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

(Check all applicable)

10% Owner

SEAGATE TECHNOLOGY

07/24/2017

\_X\_ Director X\_ Officer (give title Other (specify

Chairman & CEO

PLC, 10200 S. DE ANZA **BOULEVARD** 

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CUPERTINO, CA 95014

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owner |  |   |                  |  |  |   |  |
|--------------------------------------|---|--|--|---|------------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|                                      |   |  | Code V                                 | Amount  | (A)<br>or<br>(D) | Price  | Transaction(s) (Instr. 3 and 4)                          |   | Stephen J  |
| Ordinary<br>Shares                   | 05/24/2017                              |  | G V                                    | 10,000<br>(1)   | D                | \$0  | 1,224,158  | I   | Luczo<br>Revocable<br>Trust dated<br>January 26,<br>2001 |
| Ordinary<br>Shares                   | 07/24/2017                              |  | A                                      | 11,950<br>(2)   | A                | \$ 0   | 39,138   | D   |  |
| Ordinary<br>Shares                   | 07/24/2017                              |  | A                                      | 14,222<br>(3)   | A                | \$0  | 53,360   | D   |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | isable and | 7. Titl | e and    | 8. Price of | 9 |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|------------|---------|----------|-------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Da | ate        | Amou    | int of   | Derivative  | J |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)      | Under   | lying    | Security    | , |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |            | Securi  | ities    | (Instr. 5)  | ] |
|             | Derivative  |                     |                    |             | Securities |               |            | (Instr. | 3 and 4) |             | ( |
|             | Security    |                     |                    |             | Acquired   |               |            |         |          |             | ] |
|             |             |                     |                    |             | (A) or     |               |            |         |          |             | J |
|             |             |                     |                    |             | Disposed   |               |            |         |          |             | - |
|             |             |                     |                    |             | of (D)     |               |            |         |          |             | ( |
|             |             |                     |                    |             | (Instr. 3, |               |            |         |          |             |   |
|             |             |                     |                    |             | 4, and 5)  |               |            |         |          |             |   |
|             |             |                     |                    |             |            |               |            |         | A        |             |   |
|             |             |                     |                    |             |            |               |            |         | Amount   |             |   |
|             |             |                     |                    |             |            | Date          | Expiration | m: .1   | or       |             |   |
|             |             |                     |                    |             |            | Exercisable D | Date       |         | Number   |             |   |
|             |             |                     |                    | C 1 17      | (A) (D)    |               |            |         | of       |             |   |
|             |             |                     |                    | Code V      | (A) (D)    |               |            |         | Shares   |             |   |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |          |       |  |  |  |
|--------------------------------|---------------|-----------|----------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer  | Other |  |  |  |
| LUCZO STEPHEN J                |               |           |          |       |  |  |  |
| SEAGATE TECHNOLOGY PLC         | X             |           | Chairman |       |  |  |  |
| 10200 S. DE ANZA BOULEVARD     | Λ             |           | & CEO    |       |  |  |  |
| CUPERTINO, CA 95014            |               |           |          |       |  |  |  |

# **Signatures**

Suhani Akhare Attorney-in-Fact for Stephen J.
Luczo 07/26/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On May 24,2017, the Reporting Person gifted 10,000 Ordinary Shares from the Stephen J. Luczo Revocable Trusted dated January 26, 2001
- On July 24, 2017, the Compensation Committee of the Board of Directors of the Issuer determined that the performance conditions were met with respect to 11,950 of the 47,800 Threshold Performance Share Units granted to Mr. Luczo on September 9, 2014. The 11,950 Ordinary Shares will vest on September 9, 2017, subject to continuous service through such date.
- On July 24, 2017, the Compensation Committee of the Board of Directors of the Issuer determined that the performance conditions were met with respect to 14,222 of the 56,887 Threshold Performance Share Units granted to Mr. Luczo on September 9, 2015. The 14,222 Ordinary Shares will vest on September 9, 2017, subject to continuous service through such date.

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