Avago Technologies LTD Form 4 September 05, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ingram Bryan

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol Avago Technologies LTD [AVGO]

(Check all applicable)

(First) (Last)

(Middle)

(Zip)

3. Date of Earliest Transaction

Director

10% Owner

C/O AVAGO TECHNOLOGIES US

09/03/2013

(Month/Day/Year)

X\_ Officer (give title below)

Other (specify

INC., 350 WEST TRIMBLE ROAD

(Street)

(State)

SVP & COO

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

Dominative Committee Apprimed Disposed of an Domesically O

SAN JOSE, CA 95131

(City)

(City)	(State)	Tab	de I - Non-	Derivative	Secui	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Ordinary Shares	09/03/2013		M <u>(1)</u>	12,000	A	\$ 10	88,667 (2)	D	
Ordinary Shares	09/03/2013		M <u>(1)</u>	30,000	A	\$ 15	118,667 (2)	D	
Ordinary Shares	09/03/2013		S <u>(1)</u>	42,000	D	\$ 38.1987 (3)	76,667 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of inDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (right to buy)	\$ 10	09/03/2013		M <u>(1)</u>	12,000	<u>(4)</u>	03/02/2019	Ordinary Shares	12,000
Employee Stock Options (right to buy)	\$ 15	09/03/2013		M <u>(1)</u>	30,000	<u>(5)</u>	08/04/2019	Ordinary Shares	30,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Ingram Bryan

C/O AVAGO TECHNOLOGIES US INC. 350 WEST TRIMBLE ROAD

SVP & COO

SAN JOSE, CA 95131

## **Signatures**

/s/ Patricia H. McCall, Attorney-in-Fact for Bryan
Ingram
09/05/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on June 28, 2013.

(2) Includes 76,667 restricted share units.

Reporting Owners 2

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- These transactions were executed in multiple trades at prices ranging from \$38.09 to \$38.38 per share, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- Option vests with respect to 20% of the shares subject thereto on each anniversary of March 3, 2009, such that the option will be fully vested and exercisable on March 3, 2014.
- (5) The option is fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.