Customers Bancorp, Inc. Form 4

February 03, 2014

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB 3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Zuckerman Steven J	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle)	Customers Bancorp, Inc. [CUBI]  3. Date of Earliest Transaction			
1015 PENN AVENUE, SUITE 103	(Month/Day/Year) 01/24/2014	X Director 10% Owner Officer (give title below) Other (specify below)		
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
WYOMISSING, PA 19610		Form filed by More than One Reporting Person		

WYOMISSING, F	PA 19610
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(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired tior(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/24/2014		A	3 779	(D) Pri A \$ 20	7.416	D	
Restricted Stock Units						2,646	D	
Common Stock						198,413	I	Held in Steven J. Zuckerman revocable trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. Pr Deri Secu (Inst

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Title and	l Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Underlying	Securities
Security	or Exercise		any	Code	of	(Month/Day/Y	Year)	(Instr. 3 and	d 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	) Derivative	e			
	Derivative				Securities	S			
	Security				Acquired				
	•				(A) or				
					Disposed				
					of (D)				
					(Instr. 3,				
					4, and 5)				
					, ,				
									Amount
						Date	Expiration		or
						Exercisable	Date	Title	Number
									of
				Code '	V (A) (D)				Shares

Warrants \$ 10.5 \qquad \text{06/30/2009 06/30/2016} \qquad \text{Common Stock} \qquad 6,195

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Zuckerman Steven J

1015 PENN AVENUE, SUITE 103 X

WYOMISSING, PA 19610

#### **Signatures**

/s/ Steven J. Zuckerman by Glenn A. Yeager under Power of Attorney

02/03/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock was issued to the reporting person in lieu of cash for director compensation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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