### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

**REGENERON PHARMACEUTICALS INC** Form 4 March 10, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Sanofi Issuer Symbol REGENERON (Check all applicable) PHARMACEUTICALS INC [REGN] Director X 10% Owner \_ Other (specify Officer (give title (Last) (First) (Middle) 3. Date of Earliest Transaction below) below) (Month/Day/Year) 54 RUE LA BOETIE 03/07/2014 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting PARIS, I0 75008 Person (State) (Zip) (City) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionDisposed of (D) Securities Ownership Indirect (Instr. 3) (Instr. 3, 4 and 5) Beneficial any Code Beneficially Form. (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price \$ 195 (1) Common See note Ρ 03/07/2014 327.7664 A 17,151,686 Ι Stock (2) (4)(1) (3) \$ 2,105 Common See note 03/07/2014 Ρ А 328.8519 17,153,791 Ι Stock (1) (2) (4)(1) (5) \$ Common 6.219 See note 03/07/2014 Ρ А 330.1578 17,160,010 Ι (1) (2) (4) Stock (1) (6) Р 03/07/2014 Α 17,255,578 Ι

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| Common<br>Stock |            |   | 95,568<br>(1) (2)     |   | \$<br>331.0137<br>(1) (7)  |            |   | See note $(4)$ |
|-----------------|------------|---|-----------------------|---|----------------------------|------------|---|----------------|
| Common<br>Stock | 03/07/2014 | Р | 48,025<br>(1) (2)     | А | \$<br>332.0805<br>(1) (8)  | 17,303,603 | I | See note $(4)$ |
| Common<br>Stock | 03/07/2014 | Р | 23,743<br>(1) (2)     | А | \$<br>332.8379<br>(1) (9)  | 17,327,346 | I | See note $(4)$ |
| Common<br>Stock | 03/07/2014 | Р | 23,881<br>(1) (2)     | А | \$<br>334.0897<br>(1) (10) | 17,351,227 | I | See note $(4)$ |
| Common<br>Stock | 03/07/2014 | Р | 22,931<br>(1) (2)     | А | \$<br>335.0597<br>(1) (11) | 17,374,158 | I | See note $(4)$ |
| Common<br>Stock | 03/07/2014 | Р | 8,951<br>(1) (2)      | А | \$<br>335.8491<br>(1) (12) | 17,383,109 | I | See note $(4)$ |
| Common<br>Stock | 03/07/2014 | Р | 5,400<br>(1) (2)      | А | \$<br>337.1554<br>(1) (13) | 17,388,509 | I | See note $(4)$ |
| Common<br>Stock | 03/07/2014 | Р | 4,700<br>(1) (2)      | А | \$<br>338.0638<br>(1) (14) | 17,393,209 | Ι | See note $(4)$ |
| Common<br>Stock | 03/07/2014 | Р | 100 <u>(1)</u><br>(2) | А | \$ 339.06<br>(1) (15)      | 17,393,309 | Ι | See note $(4)$ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exercisable and | 7. Title and     | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|-------------------------|------------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration Date         | Amount of        | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/Year)        | Underlying       | Security    | Secu   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                       | Securities       | (Instr. 5)  | Bene   |
|             | Derivative  |                     | · · ·              |            | Securities | 3                       | (Instr. 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |                         |                  |             | Follo  |
|             | , i         |                     |                    |            | (A) or     |                         |                  |             | Repo   |
|             |             |                     |                    |            | Disposed   |                         |                  |             | Trans  |
|             |             |                     |                    |            | of (D)     |                         |                  |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                         |                  |             |        |
|             |             |                     |                    |            | 4, and 5)  |                         |                  |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                         | Title            |             |        |

DateExpirationExercisableDate

Amount or Number of Shares

**Reporting Owners** 

 Reporting Owner Name / Address
 Relationships

 Director
 10% Owner
 Officer
 Other

 Sanofi
 Sanofi
 Other
 Other
 Other

Sanofi 54 RUE LA BOETIE PARIS, IO 75008

# Signatures

/s/ John Felitti, Associate Vice President, Corporate Law, Financial & Securities Law

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<u>\*\*</u>Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The number of securities reported represents an aggregate number of shares purchased in multiple market transactions over a range of purchase prices. The price reported represents the weighted average price per share. The Reporting Person undertakes to provide the

- (1) staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares purchased by the Reporting Person at each separate price within the range.
- (2) Represents shares acquired directly by sanofi-aventis Amerique du Nord ("SAAN").
- (3) Purchase prices range from \$327.44 to \$328.11 per share, inclusive.

Indirectly owned through (a) SAAN, a direct, wholly-owned subsidiary of Sanofi, and (b) Aventis Pharmaceuticals Inc. ("Aventis"), an indirect, wholly-owned subsidiary of SAAN. After giving effect to all acquisitions reported on this Form 4, the number of shares

- (4) beneficially owned directly by SAAN and Aventis was 14,593,757 shares and 2,799,552 shares, respectively. Pursuant to the Amended and Restated Investor Agreement, dated as of January 11, 2014, by and among Sanofi, SAAN, sanofi-aventis US LLC, Aventis (collectively, the "Sanofi Parties") and the Issuer, the Sanofi Parties have agreed to vote their respective shares of the Issuer, subject to specified exceptions, in accordance with the recommendation of the Issuers Board of Directors.
- (5) Purchase prices range from \$328.45 to \$329.43 per share, inclusive.
- (6) Purchase prices range from \$329.50 to \$330.49 per share, inclusive.
- (7) Purchase prices range from \$330.50 to \$331.49 per share, inclusive.
- (8) Purchase prices range from \$331.50 to \$332.49 per share, inclusive.
- (9) Purchase prices range from \$332.50 to \$333.48 per share, inclusive
- (10) Purchase prices range from \$333.58 to \$334.57 per share, inclusive
- (11) Purchase prices range from \$334.58 to \$335.56 per share, inclusive
- (12) Purchase prices range from \$335.58 to \$336.25 per share, inclusive.
- (13) Purchase prices range from \$336.83 to \$337.64 per share, inclusive.
- (14) Purchase prices range from \$337.86 to \$338.85 per share, inclusive.
- (15) Purchase prices range from \$339.06 to \$339.06 per share, inclusive.

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03/10/2014

Date

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### **Remarks:**

Due to the technical limitation of the number of transactions reported, this report is 2 of 2.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.