

LIQUIDMETAL TECHNOLOGIES INC  
Form 4/A  
August 07, 2014

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STEIPP THOMAS W

2. Issuer Name and Ticker or Trading Symbol  
LIQUIDMETAL TECHNOLOGIES INC [LQMT]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
LIQUIDMETAL TECHNOLOGIES, INC., 30452 ESPERANZA  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
08/04/2014

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President and CEO

RANCHO SANTA MARGARITA, CA 92688  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)  
08/05/2014

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount or Price                                                                               |                                                          |                                            |
| Common Stock                    | 08/04/2014                           |                                                    | S                              |                                                                   | 400,000 (1)                                                                                   | D                                                        | \$ 0.2222 6,810,893 D                      |
| Common Stock                    | 08/05/2014                           |                                                    | S                              |                                                                   | 100,000 (1)                                                                                   | D                                                        | \$ 0.22 6,710,893 D                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 5) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|---------------------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                               | Title                                      | Amount or Number of Shares                                                |

## Reporting Owners

| Reporting Owner Name / Address                                                                           | Relationships |           |                   |       |
|----------------------------------------------------------------------------------------------------------|---------------|-----------|-------------------|-------|
|                                                                                                          | Director      | 10% Owner | Officer           | Other |
| STEIPP THOMAS W<br>LIQUIDMETAL TECHNOLOGIES, INC.<br>30452 ESPERANZA<br>RANCHO SANTA MARGARITA, CA 92688 | X             |           | President and CEO |       |

## Signatures

/s/ Thomas W. Steipp 08/07/2014

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Sale is being made pursuant to a trading plan previously adopted under SEC Rule 10b5-1 by the reporting person on March 31, 2014 (the "Trading Plan"). The Trading Plan was adopted by the reporting person to enable the reporting person to satisfy tax liabilities associated with the vesting of shares of restricted stock previously granted to the reporting person by Liquidmetal Technologies, Inc. in August 2010. The Trading Plan provides for the sale of 500,000 shares on August 4, 2014. This Amendment is being filed for the sole purpose of adding Footnote 1. No other changes are being made to the original Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.