Edgar Filing: RUCKERT DAVID N - Form 4

RUCKERT DAV	ID N											
Form 4												
October 24, 2008												
FORM 4										OMB A	PPROVA	۱L
	UNITED	STATES		RITIES A shington			E CO	OMMISSIO		OMB Number:	3235-	-0287
Check this box if no longer subject to Section 16. Form 4 or				SECU	RITIE	S		ERSHIP O	F I	Expires: Estimated a purden hou response	average Irs per	ry 31, 2005 0.5
Form 5 obligations may continue. <i>See</i> Instruction 1(b).	-	a) of the H	Public U	tility Hol	ding C		ct of 2	Act of 1934 1935 or Sect)				
(Print or Type Respon	ises)											
1. Name and Address RUCKERT DAV		Person <u>*</u>	Symbol			r or Trading C/DE [EFO]	5. Relationship Issuer	of Re	eporting Per	son(s) to	
						-	/ 1]	(Ch	neck a	ll applicabl	e)	
(Last) (1 32000 AURORA	, ,	Middle)		f Earliest T Day/Year) 2008	ransacti	on	- - 1	_X_ Director Officer (gi below)	ive titl		6 Owner er (specify	
(5	Street)			endment, Da nth/Day/Yea	-	inal	-	6. Individual or Applicable Line) _X_ Form filed b	oy One	Reporting Po	erson	
SOLON, OH 441	.39							Form filed by Person	y Mor	e than One R	eporting	
(City) (S	State)	(Zip)	Tab	le I - Non-I	Derivat	ive Securities	s Acqu	ired, Disposed	l of, o	r Beneficia	lly Owne	d
	nsaction Date h/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Dispos (Instr.	red (A) or sed of (D) 3, 4 and 5) (A) or	Sec Ber Ow Fol Rej Tra (In:	Amount of curities neficially /ned llowing ported unsaction(s) str. 3 and 4)	Form (D) (I)	wnership n: Direct or Indirect tr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	al ip
Reminder: Report on	a separate line	e for each cla	ass of secu	urities benet	-	-	-	-		•		
					info req dis	ormation cor uired to resp	ntaine pond	nd to the colle ed in this form unless the for valid OMB co	m are orm	e not	SEC 1474 (9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deriva
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Securit
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(Instr.

	Derivative Security				(A) or Dispose (D) (Instr. 3, and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director Stock Option	\$ 1.65	10/13/2008	А		7,000		<u>(1)</u>	(2)	Common Stock	7,000	\$ (

Reporting Owners

Reporting Owner Name / Address		Relationsh		
	Director	10% Owner	Officer	Other
RUCKERT DAVID N 32000 AURORA ROAD SOLON, OH 44139	Х			
Signatures				
/s/ David				

/s/ David 10/24/2008 Ruckert <u>**</u>Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable when vested; vests in 12 equal installments commencing one month from the date of the grant, becoming fully exercisable on 10/13/2009.
- (2) Expires on 10/13/2018, or 12 months after David Ruckert ceases to serve as a director of Energy Focus, Inc., if earlier.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.