

Horizon Technology Finance Corp
Form 8-K
November 01, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 1, 2016

**HORIZON TECHNOLOGY FINANCE
CORPORATION**

(Exact name of registrant as specified in its charter)

Delaware **814-00802** **27-2114934**
(State or other jurisdiction
of incorporation) (Commission File Number) (I.R.S. Employer Identification No.)

312 Farmington Avenue

Farmington, CT 06032

(Address of principal executive offices and zip code)

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Registrant's telephone number, including area code: **(860) 676-8654**

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- “ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- “ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- “ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- “ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 8 Other Events

Item 8.01 Other Events

On November 1, 2016, Horizon Technology Finance Corporation (the “Company”) announced that its Board of Directors has declared monthly dividends totaling \$0.30 per share, payable in such amounts and on such dates to stockholders of record, as set forth below.

| Ex-Dividend Date | Record Date | Payment Date | Amount Per Share |
|-------------------|-------------------|-------------------|------------------|
| December 16, 2016 | December 20, 2016 | January 13, 2017 | \$0.10 |
| January 17, 2017 | January 19, 2017 | February 15, 2017 | \$0.10 |
| February 17, 2017 | February 22, 2017 | March 15, 2017 | \$0.10 |
| | | Total: | \$0.30 |

A copy of the press release announcing such dividend is attached hereto as Exhibit 99.1 and is incorporated by reference herein.

Section 9 Financial Statements and Exhibits

Item 9.01 Financial Statements and Exhibits

(d) Exhibits.

99.1 Press release of the Company dated November 1, 2016.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 1, 2016 HORIZON TECHNOLOGY
FINANCE CORPORATION

By: /s/ Robert D. Pomeroy, Jr.
Robert D. Pomeroy, Jr.
Chief Executive Officer

Exhibit Index

Exhibit No. Description

99.1 Press release of the Company dated November 1, 2016

4