

NELSON DAVID D  
Form 4  
November 26, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
NELSON DAVID D

2. Issuer Name and Ticker or Trading Symbol  
WEST BANCORPORATION INC  
[WTBA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
1601 22ND STREET  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/21/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
CEO & PRESIDENT

WEST DES MOINES, IA 50266

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| COMMON STOCK                    | 11/21/2018                           |  | L                              |   | 419 <sup>(1)</sup>  | A  | \$ 20.8   |
| COMMON STOCK                    |                                      |  |                                |   | 39,084  | I  |   |
| COMMON STOCK                    |                                      |  |                                |   | 440 <sup>(2)</sup>  | I  |   |

By  
Katherine  
A. Nelson  
Rev Trust

By  
Nicholas  
Robert  
Nelson  
Roth IRA



## Edgar Filing: NELSON DAVID D - Form 4

- (1) Shares acquired pursuant to reinvestment of dividends received into purchases of new shares.
- (2) 4 shares acquired pursuant to reinvestment of dividends received into purchases of new shares.
- (3) 2 shares acquired pursuant to reinvestment of dividends received into purchases of new shares.
- (4) 187 shares were acquired pursuant to dividend reinvestment purchases in the employee savings plan 401(k) during the period from July 1, 2018 through September 30, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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