

Edgar Filing: CASTELLE \CA\ - Form 8-K

CASTELLE \CA\  
Form 8-K  
October 28, 2003

SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

-----

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of  
the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): October 24, 2003

-----

Castelle

(Exact Name of Registrant as Specified in Its Charter)

California

(State or Other Jurisdiction of Incorporation)

000-22020

(Commission File Number)

77-0164056

(IRS Employer Identification No.)

855 Jarvis Drive  
Suite 100

Morgan Hill, California

(Address of Principal Executive Offices)

95037

(Zip Code)

(408) 852-8000

(Registrant's Telephone Number, Including Area Code)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Item 7. Financial Statements and Exhibits

(c)

Exhibits

The following exhibit is being furnished herewith:

Exhibit Number	Description
99.1*	Text of press release issued by Castelle dated October 24, 2003.

\* This information shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, and shall not be deemed incorporated by reference in any filing with the Securities and Exchange Commission under the Securities Exchange Act of 1934 or the Securities Act of 1933, whether made before or after the date hereof and irrespective of any general incorporation language in any filings.

Edgar Filing: CASTELLE \CA\ - Form 8-K

Item 12. Results of Operations and Financial Condition.

(a) The information contained in this Item 12, and the exhibit hereto, are being furnished and shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, and shall not be deemed incorporated by reference in any filing with the Securities and Exchange Commission under the Securities Exchange Act of 1934 or the Securities Act of 1933, whether made before or after the date hereof and irrespective of any general incorporation language in any filings. On October 24, 2003, Castelle issued a press release regarding its financial results for its third fiscal quarter ended September 30, 2003. A copy of Castelle's press release is attached hereto as Exhibit 99.1.

2

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: October 27, 2003

Castelle

By: /s/ Paul W. Cheng

-----  
Paul W. Cheng

-----  
Vice President, Chief  
Financial Officer and  
Secretary

-----  
EXHIBIT INDEX

Exhibit Number -----	Description -----
99.1	Text of press release issued by Castelle dated October 24, 2003.

3