

Washington, D.C. 20549

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): October 24, 2018

(Exact Name of Registrant as Specified in Charter)

DELAWARE **0-32259** **94-3267295**
(State or Other Jurisdiction of Incorporation) (Commission File Number) (I.R.S. Employer Identification No.)

2820 Orchard Parkway, San Jose, California 95131

(Address of Principal Executive Offices) (Zip Code)

(408) 470-1000

Edgar Filing: ALIGN TECHNOLOGY INC - Form 8-K

(Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

On October 24, 2018, Align Technology, Inc. (the “Company”) announced that Raphael Pascaud has decided to reduce his responsibilities and transition to a part-time position to spend more time with his family. The Company has begun an executive search for a chief marketing officer (CMO). Once a new CMO has been identified, Mr. Pascaud will transition his marketing responsibilities to that leader, but he will continue to lead business development and strategy for the Company. Mr. Pascaud will continue to report directly to Joseph. M. Hogan, the Company’s chief executive officer.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALIGN TECHNOLOGY, INC.

By: /s/Roger E. George
Roger E. George

Sr. Vice President, Chief Legal and Regulatory Officer

Date: October 24, 2018