

Mooberry Derik  
Form 3  
October 20, 2011

**FORM 3**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Mooberry Derik  
(Last) (First) (Middle)

6601 S. BERMUDA ROAD

(Street)

LAS VEGAS, NV 89119

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)  
03/30/2011

3. Issuer Name and Ticker or Trading Symbol  
BALLY TECHNOLOGIES, INC. [BYI]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
SVP, Product & Operations

5. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock, par value \$.10 per share

16,338 <sup>(1)</sup>

D

A

Common Stock, par value \$.10 per share

340

I

Derik J Mooberry and Barbara  
Mooberry Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

4. Conversion  
or Exercise  
Price of

5. Ownership  
Form of  
Derivative

6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

## Edgar Filing: Mooberry Derik - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (Right to Buy)	08/24/2008	08/24/2015	Common Stock, par value \$.10 per share	2,000	\$ 12.07	D	Â
Employee Stock Options (Right to Buy)	Â <u>(2)</u>	10/14/2015	Common Stock, par value \$.10 per share	10,000	\$ 20.32	D	Â
Employee Stock Options (Right to Buy)	Â <u>(3)</u>	07/21/2016	Common Stock, par value \$.10 per share	7,000	\$ 34.61	D	Â
Employee Stock Options (Right to Buy)	Â <u>(4)</u>	10/25/2016	Common Stock, par value \$.10 per share	6,000	\$ 19.47	D	Â
Employee Stock Options (Right to Buy)	Â <u>(5)</u>	02/26/2017	Common Stock, par value \$.10 per share	12,000	\$ 22.02	D	Â
Employee Stock Options (Right to Buy)	Â <u>(6)</u>	08/16/2017	Common Stock, par value \$.10 per share	7,500	\$ 32.62	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mooberry Derik 6601 S. BERMUDA ROAD LAS VEGAS, NV 89119	Â	Â	Â SVP, Product & Operations	Â

## Signatures

/s/Derik  
Mooberry

10/20/2011

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- 1,838 restricted shares are vested. The unvested restricted stock vests as follows: (i) 2,500 shares on 12/13/2011, (ii) 2,000 shares on 4/14/2011, (iii) 1,666 shares on 7/21/2011, (iv) 750 shares on 2/10/2012, (v) 2,000 shares 4/14/2012, (vi) 1,667 shares on 7/21/2012, (vii) 750 shares on 2/10/2013, (viii) 1,667 shares on 7/21/2013, (ix) 750 shares on 2/10/2014, and (x) 750 shares on 2/10/2015.
- (2) The options vest as follows: (i) 5,000 on 10/14/2010, (ii) 2,500 on 10/14/2011, and (iii) 2,500 on 10/14/2012.
- (3) The options vest in 4 equal annual installments commencing on 7/21/2009.
- (4) The options vested in 4 equal annual installments commencing on 10/25/2006.
- (5) The options vested in 4 equal annual installments commencing on 2/26/2007.
- (6) The options vest as follows: (i) 3,750 on 8/16/2012, (ii) 1,875 on 8/16/2013, and (iii) 1,875 on 8/16/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.