

ALPHA PRO TECH LTD
Form 4
August 27, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Garcia David R

(Last) (First) (Middle)

60 CENTURIAN DRIVE, SUITE 112

(Street)

MARKHAM, A6 L3R 9R2

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ALPHA PRO TECH LTD [APT]

3. Date of Earliest Transaction (Month/Day/Year)
08/25/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| common stock | 08/25/2014 | | S | 5,871 | D \$ 2.64 | 0 (2) | D |
| common stock | 08/25/2014 | | S | 317 | D \$ 2.62 | 0 (2) | D |
| common stock | 08/25/2014 | | S | 400 | D \$ 2.6 | 0 (2) | D |
| common stock | 08/25/2014 | | S | 1,200 | D \$ 2.59 | 0 (2) | D |
| common stock | 08/25/2014 | | S | 27,212 | D \$ 2.58 | 0 (2) | D |

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| | | | | | | |
|--------------|------------|---|--------|---|------------------------|---|
| common stock | 08/26/2014 | M | 25,000 | A | \$ 1.60 ⁽¹⁾ | D |
| common stock | 08/26/2014 | M | 10,000 | A | \$ 1.15 ⁽¹⁾ | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| options | \$ 1.6 | 08/26/2014 | | M | 8,333 | 10/12/2011 10/12/2015 | | common stock | 8,333 |
| options | \$ 1.6 | 08/26/2014 | | M | 8,333 | 10/12/2012 10/12/2015 | | common stock | 8,333 |
| options | \$ 1.6 | 08/26/2014 | | M | 8,334 | 10/12/2013 10/12/2015 | | common stock | 8,333 |
| options | \$ 1.15 | 08/25/2014 | | M | 5,000 | 09/30/2012 09/29/2016 | | common stock | 5,000 |
| options | \$ 1.15 | 08/25/2014 | | M | 5,000 | 09/30/2013 09/29/2016 | | common stock | 5,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Garcia David R 60 CENTURIAN DRIVE, SUITE 112 MARKHAM, A6 L3R 9R2 | | X | | |

Signatures

David R.Garcia

08/27/2014

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) the common stock shares were presold on August 25,2014
 - (2) the common stock was pre sold on August 25,2014 and the options were exercised on August 26,2014

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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