

BALLY TECHNOLOGIES, INC.

Form 4

September 05, 2014

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Davidson Neil

2. Issuer Name **and** Ticker or Trading  
Symbol  
BALLY TECHNOLOGIES, INC.  
[BYI]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
6601 S. BERMUDA ROAD  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/03/2014

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
SVP, CFO & Treasurer

LAS VEGAS, NV 89119

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial (Instr. 4)
Common Stock, par value \$.10 per share	09/03/2014		S <sup>(1)</sup>	3,540 D \$ 80	37,578 <sup>(2)</sup>	D	
Common Stock, par value \$.10 per share	09/03/2014		M	2,500 A \$ 23.47	40,078	D	
Common Stock, par value \$.10 per share	09/03/2014		S <sup>(1)</sup>	2,500 D \$ 80	37,578	D	

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Common Stock, par value \$.10 per share	09/03/2014	M	10,000	A	\$ 37.76	47,578	D
Common Stock, par value \$.10 per share	09/03/2014	S <sup>(1)</sup>	10,000	D	\$ 80	37,578	D
Common Stock, par value \$.10 per share	09/03/2014	M	6,000	A	\$ 42.54	43,578	D
Common Stock, par value \$.10 per share	09/03/2014	S <sup>(1)</sup>	6,000	D	\$ 80	37,578	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 23.47	09/03/2014		M	2,500	<sup>(3)</sup> 03/08/2017	Common Stock, par value \$.10 per share 2,500
Employee Stock Option (Right to Buy)	\$ 37.76	09/03/2014		M	10,000	<sup>(5)</sup> 12/06/2018	Common Stock, par value \$.10 per share 10,000
	\$ 42.54	09/03/2014		M	6,000	<sup>(6)</sup> 10/16/2016	6,000

Employee  
Stock  
Option  
(Right to  
Buy)

Common  
Stock, par  
value  
\$.10 per  
share

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Davidson Neil 6601 S. BERMUDA ROAD LAS VEGAS, NV 89119			SVP, CFO & Treasurer	

## Signatures

/s/Neil Davidson                      09/05/2014

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales were pursuant to a Rule 10b5-1 Trading Agreement entered into on February 17, 2014.
- (2) Includes 194 shares acquired under the Bally Technologies, Inc. Employee Stock Purchase Plan on May 30, 2014.
- (3) The options vested as follows: 1,250 on 3/8/2008, 1,250 on 3/8/2009, 1,250 on 3/8/2010 and 1,250 on 3/8/2011.
- (4) Granted as compensation for services.
- (5) The options vest as follows: 5,000 on 12/6/2012, 5,000 on 12/6/2013, 5,000 on 12/6/2014 and 5,000 on 12/6/2015.
- (6) The options vested as follows: 3,000 on 10/16/2011, 1,500 on 10/16/2012 and 1,500 on 10/16/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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