## Edgar Filing: GUGGENHEIM STRATEGIC OPPORTUNITIES FUND - Form 4

|   | Eugar i mig. a                                       |   |  |  |  |  |  |
|---|--|---|--|--|--|--|--|
| GUGGENHI<br>Form 4<br>July 01, 2015   |  | PPORTUNITIES FUND   |  |  |  |  |  |
| FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB APPROVAL         Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       OMB APPROVAL         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       State of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1940       OMB APPROVAL |  |   |  |  |  |  |  |
| (Print or Type I  | Responses)   |   |  |  |  |  |  |
| 1. Name and A<br>Minerd B. S  | ddress of Reporting Pers                             | on <sup>*</sup> 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>GUGGENHEIM STRATEGIC<br>OPPORTUNITIES FUND [GOF] | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)  |  |  |  |  |
| (Last)<br>227 W. MO<br>FLOOR  | (First) (Midd  | (Month/Day/Year)  | Director 10% Owner<br>Officer (give titleX Other (specify<br>below)<br>Chief Investment Officer  |  |  |  |  |
| CHICAGO,  | (Street)<br>IL 60606                                 | 4. If Amendment, Date Original Filed(Month/Day/Year)  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person   |  |  |  |  |
| (City)  | (State) (Zip   | ) Table I. Non Devivative Securities Acc  |  |  |  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date 2A<br>(Month/Day/Year) Ex<br>any | . Deemed 3. 4. Securities Acquired<br>ecution Date, if Transactior(A) or Disposed of (D)                                  | 5. Amount of<br>Securities6.7. Nature of<br>IndirectBeneficiallyForm: DirectBeneficial<br>OwnershipOwned(D) orOwnershipFollowingIndirect (I)(Instr. 4)Reported(Instr. 4)Transaction(s)<br>(Instr. 3 and 4)Indirect (I) |  |  |  |  |
| Common<br>Stock   | 06/29/2015   | P 5,143 A <sup>\$</sup><br>19.228   | 5,143 D  |  |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative               | 2.<br>Conversion  | 3. Transaction Date |   | 4.<br>Transactiv   | 5.   | 6. Date Exercised D |                    | 7. Title an  |                        | 8. Price of                          | 9. Nu<br>Derix   |
|--------------------------------------|---|---------------------|---|--------------------|--|---------------------|--------------------|--|------------------------|--------------------------------------|--|
| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year)    | Execution Date, if<br>any<br>(Month/Day/Year) | Code<br>(Instr. 8) | Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, |                     |                    | Amount o<br>Underlyin<br>Securities<br>(Instr. 3 a | ng<br>S                | Derivative<br>Security<br>(Instr. 5) | Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                                      |   |                     |   | Code V             | 4, and 5)<br>(A) (D)   | Date<br>Exercisable | Expiration<br>Date | or<br>Title Nu<br>of                               | nount<br>Imber<br>ares |                                      |  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |               |         |                          |  |
|---|---------------|---------------|---------|--------------------------|--|
| 1   | Director      | 10% Owner     | Officer | Other                    |  |
| Minerd B. Scott<br>227 W. MONROE STREET<br>7TH FLOOR<br>CHICAGO, IL 60606 |               |               |         | Chief Investment Officer |  |
| Signatures  |               |               |         |                          |  |
| /s/ B. Scott Minerd by Mark E.<br>Attorney                                | Mathiase      | en Pursuant t | o Power | of<br>07/01/2015         |  |
| <u>**</u> Signature   | of Reporting  | Person        |         | Date                     |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.