Edgar Filing: CARLISLE COMPANIES INC - Form 4

CARLISLE COMPANIES INC

Form 4 May 12, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

burden hours per 0.5

Estimated average response...

5 Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2 Jasuar Nama and Tiakar or Trading

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person *

ROBERTS	DAVID A	g Person _	Symbol	r Name an			I	ssuer (Checl	c all applicable		
(Last)	(First)	(Middle)		of Earliest T Day/Year)	ransaction		_	_X_ Director _X_ Officer (give	title Othe	Owner er (specify	
C/O CARLISLE COMPANIES INCORPORATED, 11605 NORTH			05/11/2016				t	below) below) Executive Chairman			
COMMUN	ITY HOUSE RO	DAD									
	(Street)			4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
			Filed(Mo	nth/Day/Yea	r)			Applicable Line) X_ Form filed by C			
CHARLOT	TE, NC 28277						- F	Form filed by M Person	ore than One Re	porung	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secur	ities Acqui	ired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		n Date, if	3. Transaction Code (Instr. 8)	4. Securit for Dispos (Instr. 3, 4	ed of ((D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(Instr. 1)		
Common Stock	05/11/2016			M	67,133	A		214,750	D		
Common Stock	05/11/2016			S	67,133	D	\$ 102.89 (1)	147,617	D		
Common								132,076 (2)	I	See Footnote	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Footnote

Edgar Filing: CARLISLE COMPANIES INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Options	\$ 18.57	05/11/2016		M	67,133	<u>(4)</u>	02/03/2019	Common Stock	67,133

Reporting Owners

Reporting Owner Name / Address	Relationships				
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other	
ROBERTS DAVID A					
C/O CARLISLE COMPANIES INCORPORATED	X		Executive		
11605 NORTH COMMUNITY HOUSE ROAD	Λ		Chairman		
CHARLOTTE, NC 28277					

Signatures

/s/ David A. Roberts by Steven Ford, attorney-in-fact 05/12/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$102.48 to \$103.46. The price reported above reflects the weighted average sales price. Mr. Roberts hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
- (2) Includes 120,910 shares held in Grantor Retained Annuity Trusts for the benefit of Mr. Roberts' children of which Mr. Roberts is Trustee and 11,166 shares held in trust for the benefit of Mr. Roberts' children of which Mr. Roberts' spouse is Trustee.
- (3) Right to buy.

(4)

Reporting Owners 2

Edgar Filing: CARLISLE COMPANIES INC - Form 4

66,667 options exercisable on each of February 4, 2010 and February 4, 2011, and 66,666 options exercisable on February 4, 2012, cumulatively.

(5) The transaction is the exercise of a derivative security.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.