

HORMEL FOODS CORP /DE/  
Form 3  
June 06, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol |  |
| Â HAYNES JANA L                           |         | (Month/Day/Year)                     | HORMEL FOODS CORP /DE/ [HRL]                       |  |
| (Last)                                    | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer   | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
|   |         | 05/30/2016                           |  |  |
| 1 HORMEL PLACE                            |         |                                      | (Check all applicable)                             |  |
| (Street)                                  |         |                                      | <input type="checkbox"/> Director                  | <input type="checkbox"/> 10% Owner                                     |
| AUSTIN,Â MNÂ 55912                        |         |                                      | <input checked="" type="checkbox"/> Officer        | <input type="checkbox"/> Other   |
| (City)                                    | (State) | (Zip)                                | (give title below)                                 | (specify below)  |
|   |         |                                      | Vice President and Controller                      |  |
|   |         |                                      |  | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
|   |         |                                      |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      |  | <input type="checkbox"/> Form filed by More than One Reporting Person  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 216.97  | I  | JEPST Plan  |
| Common Stock                    | 1,526.597   | I  | by Spouse   |
| Common Stock                    | 794.001   | I  | Spouse's 401(k) Plan                                  |
| Common Stock                    | 687.221   | I  | Spouse's JEPST Plan                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date | 3. Title and Amount of Securities Underlying | 4. Conversion | 5. Ownership | 6. Nature of Indirect Beneficial Ownership |
|--|---|--|---------------|--------------|--|
|--|---|--|---------------|--------------|--|

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|                              | (Month/Day/Year) |                 | Derivative Security (Instr. 4) |                            | or Exercise Price of Derivative Security | Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|------------------------------|------------------|-----------------|--------------------------------|----------------------------|--|--|------------|
|                              | Date Exercisable | Expiration Date | Title                          | Amount or Number of Shares |  |  |            |
| Stock Options (Right to Buy) | Â (1)            | 12/07/2020      | Common Stock                   | 2,500                      | \$ 12.48                                 | D  | Â          |
| Stock Options (Right to Buy) | Â (2)            | 12/06/2021      | Common Stock                   | 7,500                      | \$ 14.8                                  | D  | Â          |
| Stock Options (Right to Buy) | Â (3)            | 12/04/2022      | Common Stock                   | 10,000                     | \$ 15.49                                 | D  | Â          |
| Stock Options (Right to Buy) | Â (4)            | 12/03/2023      | Common Stock                   | 6,200                      | \$ 22.99                                 | D  | Â          |
| Stock Options (Right to Buy) | Â (5)            | 12/02/2024      | Common Stock                   | 8,000                      | \$ 26.38                                 | D  | Â          |
| Stock Options (Right to Buy) | Â (6)            | 12/01/2025      | Common Stock                   | 8,800                      | \$ 37.755                                | D  | Â          |
| Stock Options (Right to Buy) | Â (5)            | 12/02/2024      | Common Stock                   | 4,600                      | \$ 26.38                                 | I  | by Spouse  |
| Stock Options (Right to Buy) | Â (6)            | 12/01/2025      | Common Stock                   | 3,000                      | \$ 37.755                                | I  | by Spouse  |

## Reporting Owners

| Reporting Owner Name / Address                      | Relationships |           |                                 |       |
|---|---------------|-----------|---------------------------------|-------|
|   | Director      | 10% Owner | Officer                         | Other |
| HAYNES JANA L<br>1 HORMEL PLACE<br>AUSTIN, MN 55912 | Â             | Â         | Â Vice President and Controller | Â     |

## Signatures

Jana L. Haynes, by Power of Attorney  
05/31/2016

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested in four equal annual installments, with the first group vesting on December 7, 2011.
  - (2) The option vested in four equal annual installments, with the first group vesting on December 6, 2012.
  - (3) The option vests in four equal annual installments, with the first group vesting on December 4, 2013.
  - (4) The option vests in four equal annual installments, with the first group vesting on December 3, 2014.

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(5) The option vests in four equal annual installments, with the first group vesting on December 2, 2015.

(6) The option vests in four equal annual installments, with the first group vesting on December 1, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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