Edgar Filing: New Aristotle Holdings, Inc. - Form 4

New Aristotle Holdings, Inc. Form 4

November	28 2006										
								OMB A	PPROVAL		
FOR	UNITED	STATES	S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					N OMB Number:	3235-0287		
Check t if no lo		C .				Expires:	January 31, 2005				
subject Section Form 4	AENT O	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Estimated burden hou	Estimated average burden hours per response 0.5			
Form 5 obligati may co <i>See</i> Inst 1(b).	ons ntinue. Section 17(a) of the l	Public U	Jtility Hol	ding Cor		nge Act of 1934, of 1935 or Secti 940				
(Print or Type	Responses)										
1. Name and Address of Reporting Person [*] ROCK ROBERT H (Last) (First) (Middle) 1313 LAFAYETTE ROAD			2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer V] (Check all applicable) <u></u>					
			New Aristotle Holdings, Inc. [AC								
			3. Date of Earliest Transaction (Month/Day/Year) 11/24/2006								
GLADWY	(Street) NE, PA 19035			aendment, D onth/Day/Yea	-	1	•		erson		
(City)	(State)	(Zip)	Tal	ole I - Non-	Derivative	Securities A	Person	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D:	ed Date, if	3. Transactic Code (Instr. 8)	4. Securit mAcquired Disposed (Instr. 3, 4	ies (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
Reminder: Re	eport on a separate line	e for each cl	ass of sec	urities bene	ficially own	ned directly (or indirectly.				
					Perso inforn requir	ns who rest nation cont ed to response a current	spond to the collection tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab	le II - Deriv	vative Se	curities Acc	uired, Dis	posed of, or	Beneficially Owned	d			

(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. I
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Der
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Sec
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(In

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	Derivative Security			(A) or Disposed (D) (Instr. 3, 4 and 5)					
			Code V	(A)	(D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director Stock Option (Right to Buy)	\$ 13.919	11/24/2006	А	9,221	<u>(1)</u>	01/22/2013	Common Stock	9,221	
Director Stock Option (Right to Buy)	\$ 16.873	11/24/2006	А	9,221	<u>(1)</u>	01/21/2014	Common Stock	9,221	
Director Stock Option (Right to Buy)	\$ 21.849	11/24/2006	А	9,221	<u>(1)</u>	01/26/2015	Common Stock	9,221	
Director Stock Option (Right to Buy)	\$ 18.042	11/24/2006	А	9,221	<u>(1)</u>	01/25/2016	Common Stock	9,221	
Director Stock Option (Right to Buy)	\$ 10.622	11/24/2006	A	9,221	<u>(1)</u>	01/23/2012	Common Stock	9,221	

11/28/2006

Reporting Owners

Reporting Owner Name / AddressRelationshipsDirector10% OwnerOfficerOtherROCK ROBERT H1313 LAFAYETTE ROADXVVGLADWYNE, PA 19035XVVV

Signatures

/s/ James M. Spira as Attorney-in-Fact for Robert H. Rock

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option currently is exercisable in full.
- (2) This option was granted by the issuer in replacement of an option to purchase shares of common stock of Sally Beauty Holdings, Inc. ("Sally Beauty Holdings") in connection with the spin-off of the issuer by Sally Beauty Holdings (the "Spin-Off").
- (3) In the Spin-Off, this option replaced an option to purchase 3,750 shares of common stock of Sally Beauty Holdings at an exercise price of \$34.227.
- (4) In the Spin-Off, this option replaced an option to purchase 3,750 shares of common stock of Sally Beauty Holdings at an exercise price of \$41.49.
- (5) In the Spin-Off, this option replaced an option to purchase 3,750 shares of common stock of Sally Beauty Holdings at an exercise price of \$53.725.
- (6) In the Spin-Off, this option replaced an option to purchase 3,750 shares of common stock of Sally Beauty Holdings at an exercise price of \$44.365.
- (7) In the Spin-Off, this option replaced an option to purchase 3,750 shares of common stock of Sally Beauty Holdings at an exercise price of \$26.12.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.