Edgar Filing: CALDWELL ETHAN A - Form 4

CALDWEL	L ETHAN A										
Form 4											
May 08, 200	19										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB AF	OMB APPROVAL		
	UNITED	STATES					NGE C	OMMISSION	OMB	3235-0287	
Check th	is how		Wa	shington,	D.C. 20	549			Number:		
if no long	aar								Expires:	January 31, 2005	
subject to	STATEA	AENT O	F CHAN	GES IN BENEFICIAL OWNERSH				ERSHIP OF	Estimated a	ated average	
Section 16.				SECURITIES					burden hours per		
Form 4 c Form 5			~						response	0.5	
obligatio	n c -						-	Act of 1934,			
may cont				•	•	· ·	•	1935 or Section	1		
See Instr	uction	30(n)	of the In	vestment	Compar	iy Ac	ct of 1940	J			
1(b).											
(Print or Type l	Responses)										
(F)										
1. Name and Address of Reporting Person [*] _ 2. Issuer Name and Ticker or Trading 5. Relationship of								Reporting Person(s) to			
CALDWEL	L ETHAN A		Symbol	C				Issuer			
			-	HEX INC		(]					
(Last)	(First) (Middle)	3 Date of	f Earliest Tı	ansaction			(Check	c all applicable)	
413 PINE STREET, SUITE 500 05/07/20							Director 10% Owner				
			-				X Officer (give title Other (specify below) below) General Counsel & CAO				
			mendment, Date Original				6. Individual or Joint/Group Filing(Check				
Filed(Mor				nth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
SEATTLE,	WA 98101							Form filed by M			
								Person			
(City)	(State)	(Zip)	Tab	le I - Non-E	Derivative	Secur	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned	
1.Title of	2. Transaction Date	e 2A. Deen	amed 3. 4. Securities Acquired					5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	n Date, if Transaction(A) or Disposed of (D)					Securities	Ownership	Indirect		
(Instr. 3)		any (Month/E	Day/Vear)	Code (Instr. 3, 4 and 5) (Instr. 8)			5)	Beneficially Owned		Beneficial Ownership	
		Jay/Tear) (Instr. 8)				Following	(Instr. 4)				
						(A)		Reported	Indirect (I) (Instr. 4)		
						(A) or		Transaction(s)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Class B							\$				
Common	05/07/2009			S <u>(1)</u>	7,500	D	φ 4.0188	292,500	D		
Stock							1.0100				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title o Derivativ Security (Instr. 3)	e Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Addr	ess		Relationships				
Toporting C miner I white / 12 war	Director	10% Owner	Officer	Other			
CALDWELL ETHAN A 413 PINE STREET SUITE 500 SEATTLE, WA 98101			General Counsel & CAO				
Signatures							
Ethan A. Caldwell	05/08/2009						
**Signature of	Date						

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales reported on this Form 4 were effected pursuant to a Rule 10(b)5-1 trading plan adopted by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.