

AMPHENOL CORP /DE/

Form 3

February 08, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Gu Richard

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/01/2017

3. Issuer Name and Ticker or Trading Symbol
AMPHENOL CORP /DE/ [APH]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

VP,Grp GM Mobile Consumer Prod

C/O SHANGHAI AMPHENOL
AIR WAVE,Â NO. 689
SHENNAN ROAD,
XINZHUANG IND AREA

(Street)

SHANGHAIÂ 201108

(City)

(State)

(Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Class A Common Stock

1,000

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Option	05/27/2011	05/27/2020	Class A Common Stock	4,000	\$ 21.5 ⁽¹⁾	D	Â
Stock Option	05/24/2013	05/24/2022	Class A Common Stock	16,000	\$ 26.63 ⁽¹⁾	D	Â
Stock Option	05/23/2014	05/23/2023	Class A Common Stock	28,000	\$ 39 ⁽¹⁾	D	Â
Stock Option	05/22/2015	05/22/2024	Class A Common Stock	30,000	\$ 47.72 ⁽¹⁾	D	Â
Stock Option	05/21/2016	05/21/2025	Class A Common Stock	35,000	\$ 57.97	D	Â
Stock Option	01/04/2017	01/04/2026	Class A Common Stock	100,000	\$ 50.87	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gu Richard C/O SHANGHAI AMPHENOL AIR WAVE NO. 689 SHENNAN ROAD, XINZHUANG IND AREA SHANGHAIÂ 201108	Â	Â	Â VP,Grp GM Mobile Consumer Prod	Â

Signatures

Stephen
Dorrough, POA 02/08/2017

__Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Securities owned reflect 2-for-1 stock split effective October 9, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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