Edgar Filing: Weingarth Roger - Form 4

Weingarth I Form 4 November 3	-									
FORM	ЛЛ							OMB AF	PROVAL	
	UNITEDSI	TATES SECU W	RITIES ashingtor			ANGE CO	OMMISSION	OMB Number:	3235-0287	
Check t if no loi	NGES IN BENEFICIAL OWN SECURITIES					Expires:	January 31, 2005			
subject Section Form 4					ERSHIP OF	Estimated a burden hour response	average urs per			
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940										
(Print or Type	Responses)									
Weingarth Roger Symbol			ssuer Name and Ticker or Trading ool LIX, INC [CALX]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mid	ldle) 3. Date	of Earliest '	Fransaction	l		(Check	all applicable)	
C/O CALI MCDOWE	nth/Day/Year) 6/2010				Director10% Owner XOfficer (give titleOther (specify below) below) EVP and COO					
(Street) 4. If Ame			endment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(Mo PETALUMA, CA 94954			• /				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							y Owned			
1.Title of Security (Instr. 3)	(Month/Day/Year) Example and an	ansaction Date 2A. Deemed hth/Day/Year) Execution Date, if any (Month/Day/Year)			ies Ac sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6.7. Nature ofOwnershipIndirectForm:BeneficialDirect (D)Ownershipor Indirect(Instr. 4)(I)(Instr. 4)		
C			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	11/26/2010		М	13,700	А	\$ 0.49	313,668 <u>(1)</u>	D		
Common Stock	11/26/2010		S <u>(2)</u>	13,700	D	\$ 12.7261 (<u>3)</u>	299,968 <u>(1)</u>	D		
Common Stock	11/29/2010		М	6,300	А	\$ 0.49	306,268 <u>(1)</u>	D		
Common Stock	11/29/2010		S <u>(2)</u>	6,300	D	\$ 12.6151 (5)	299,968 <u>(1)</u>	D		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	Expiration (Month/Da	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Options (right to buy)	\$ 0.49	11/26/2010		М	13,70	00 <u>(4)</u>	03/27/2013	Common Stock	13,700	
Employee Stock Options (right to buy)	\$ 0.49	11/29/2010		М	6,30	0 (4)	03/27/2013	Common Stock	6,300	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Weingarth Roger C/O CALIX, INC. 1035 N. MCDOWELL BLVD. PETALUMA, CA 94954			EVP and COO			
Signatures						

9.1

/s/ Roger	11/29/2010
Weingarth	11/29/2010

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 170,332 restricted stock units.
- (2) The sale reported in the Form 4 was effected pursuant to a Rule 10b5-1 trading plan.
- (3) The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$12.5600 to \$12.9200, inclusive. Full information on the number of shares sold at each sale price is available upon request.
- (4) 100% of the shares subject to the option are fully vested and exercisable.
- (5) The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$12.4500 to \$12.8100, inclusive. Full information on the number of shares sold at each sale price is available upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.