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MARRONE BIO INNOVATIONS INC

Form 3

August 01, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Statement Himmel Phyllis (Month/Day/Year) 08/01/2013 (Last) (First) (Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol MARRONE BIO INNOVATIONS INC [MBII]

C/O MARRONE BIO INNOVATIONS, INC., Â 2121 SECOND STREET, SUITE A-107

(Street)

(Check all applicable)

4. Relationship of Reporting

Person(s) to Issuer

10% Owner Director _X__ Officer Other (give title below) (specify below) V.P. of Biological Research

5. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person Form filed by More than One

Reporting Person

DAVIS, CAÂ 95618

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned (Instr. 4)

Form:

4. Nature of Indirect Beneficial Ownership Ownership (Instr. 5) Direct (D) or Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

SEC 1473 (7-02)

(Instr. 5)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of Price of Derivative Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	09/27/2020	Common Stock	15,931	\$ 1.19	D	Â
Stock Option (Right to Buy)	(2)	01/24/2021	Common Stock	5,372	\$ 1.19	D	Â
Stock Option (Right to Buy)	(3)	12/15/2021	Common Stock	15,931	\$ 1.41	D	Â
Stock Option (Right to Buy)	(4)	02/20/2022	Common Stock	12,602	\$ 3.11	D	Â
Stock Option (Right to Buy)	(5)	10/18/2022	Common Stock	47,794	\$ 12.08	D	Â

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Himmel Phyllis C/O MARRONE BIO INNOVATIONS, INC. 2121 SECOND STREET, SUITE A-107 DAVIS, CA 95618	Â	Â	V.P. of Biological Research	Â			

Signatures

/s/ Donald J. Glidewell, Donald J. Glidewell, Attorney-in-Fact

08/01/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested with respect to 1/4th of the total shares subject to the option on the first anniversary of the vesting commencement date of October 10, 2010, and 1/48th of the total shares subject to the option monthly thereafter for 36 months.
- (2) The option vested with respect to 100% of the total shares subject to the option on the vesting commencement date of January 1, 2011.
- (3) The options vest with respect to 1/60th of the total shares subject to the option one month after the vesting commencement date of November 1, 2011, and 1/60th of the total shares subject to the option monthly thereafter for 36 months.
- (4) The option vested with respect to 100% of the total shares subject to the option on the vesting commencement date of February 20, 2012.
- (5) The option vested with respect to 1/4th of the total shares subject to the option on the first anniversary of the vesting commencement date of October 18, 2012, and 1/48th of the total shares subject to the option monthly thereafter for 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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