AUTONATION, INC.

Form 4

September 04, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box

Expires:

**OMB APPROVAL** 

January 31, 2005

0.5

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* LAMPERT EDWARD S

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Last)

(Middle)

AUTONATION, INC. [AN]

(Check all applicable)

(First)

3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title \_X\_\_ 10% Owner \_ Other (specify

1170 KANE CONCOURSE, SUITE

(Street)

200

4. If Amendment, Date Original

below)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

08/30/2013

Applicable Line)

Form filed by One Reporting Person X Form filed by More than One Reporting

BAY HARBOR, FL 33154

(City)	(State)	(Zip) Tab	le I - Non-	Derivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities our Dispose (Instr. 3, 4	d of (I	<b>O</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	08/30/2013		Code V	Amount 32,958	(D)	Price \$ 47.04 (1)	(Instr. 3 and 4) 17,935,567	D (2) (3) (4) (5)	
Common Stock, par value \$0.01 per share	08/30/2013		S	2,450	D	\$ 47.04 (1)	2,310,651	I	See Footnotes (2) (3) (4) (5) (6)
Common Stock, par	08/30/2013		S	1,905	D	\$ 47.04	1,796,696	I	See Footnotes

value \$0.01 per share					(1)			(2) (3) (4) (5) (7)
Common Stock, par value \$0.01 per share	08/30/2013	S	3,031	D	\$ 47.04 (1)	2,859,154	I	See Footnotes (2) (3) (4) (5) (8)
Common Stock, par value \$0.01 per share	08/30/2013	S	7	D	\$ 47.04 (1)	6,288	I	See Footnotes (2) (3) (4) (5) (9)
Common Stock, par value \$0.01 per share	09/03/2013	S	244,191	D	\$ 47.25 (10)	17,691,376	D (2) (3) (4) (5)	
Common Stock, par value \$0.01 per share	09/03/2013	S	18,149	D	\$ 47.25 (10)	2,292,502	I	See Footnotes (2) (3) (4) (5) (6)
Common Stock, par value \$0.01 per share	09/03/2013	S	14,112	D	\$ 47.25 (10)	1,782,584	I	See Footnotes (2) (3) (4) (5) (7)
Common Stock, par value \$0.01 per share	09/03/2013	S	22,457	D	\$ 47.25 (10)	2,836,697	I	See Footnotes (2) (3) (4) (5) (8)
Common Stock, par value \$0.01 per share	09/03/2013	S	49	D	\$ 47.25 (10)	6,239	I	See Footnotes (2) (3) (4) (5) (9)
Common Stock, par value \$0.01 per share	09/04/2013	S	646,732	D	\$ 47.48 (11)	17,044,644	D (2) (3) (4) (5)	
Common Stock, par value	09/04/2013	S	48,067	D	\$ 47.48 (11)	2,244,435	I	See Footnotes (2) (3) (4) (5)

\$0.01 per share								<u>(6)</u>
Common Stock, par value \$0.01 per share	09/04/2013	S	37,376	D	\$ 47.48 (11)	1,745,208	I	See Footnotes (2) (3) (4) (5) (7)
Common Stock, par value \$0.01 per share	09/04/2013	S	59,478	D	\$ 47.48 (11)	2,777,219	I	See Footnotes (2) (3) (4) (5) (8)
Common Stock, par value \$0.01 per share	09/04/2013	S	131	D	\$ 47.48 (11)	6,108	I	See Footnotes (2) (3) (4) (5) (9)
Common Stock, par value \$0.01 per share						13,153,514	I	See Footnotes (2) (3) (4) (5) (12)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. ctionNumber of Securiti Acquire (A) or Dispose of (D) (Instr. 3 4, and 5	(Month/Day ve es d d	Pate	7. Title Amoun Underly Securiti (Instr. 3	t of ying	8. Price of Derivative Security (Instr. 5)
				Code	V (A) (D	Date Exercisable	Expiration Date	Title 1	Amount or Number of Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting owner runner runners	Director	10% Owner	Officer	Other		
LAMPERT EDWARD S 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X				
ESL PARTNERS, L.P. 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X				
SPE II Partners, L.P. 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X				
SPE Master II, L.P. 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X				
RBS PARTNERS L P /CT 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X				
ESL INSTITUTIONAL PARTNERS LP 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X				
RBS INVESTMENT MANAGEMENT LLC 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X				
CBL Partners, L.P. 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X				
ESL INVESTMENTS INC 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X				

# Signatures

/s/ Edward S. Lampert	09/04/2013			
**Signature of Reporting Person	Date			
ESL PARTNERS, L.P., By: RBS Partners, L.P., Its: General Partner, By: ESL Investments,				
Inc., Its: General Partner, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer				
**Signature of Reporting Person	Date			
SPE II PARTNERS, LP, By: RBS Partners, L.P., Its: General Partner, By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	09/04/2013			

Reporting Owners 4

**Signature of Reporting Person	Date
SPE MASTER II, LP, By: RBS Partners, L.P., Its: General Partner, By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	09/04/2013
**Signature of Reporting Person	Date
RBS PARTNERS, L.P., By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	09/04/2013
**Signature of Reporting Person	Date
ESL INSTITUTIONAL PARTNERS, L.P., By: RBS Investment Management, L.L.C., Its: General Partner, By: ESL Investments, Inc., Its: Manager, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	09/04/2013
**Signature of Reporting Person	Date
RBS INVESTMENT MANAGEMENT, L.L.C., By: ESL Investments, Inc., Its: Manager, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	09/04/2013
**Signature of Reporting Person	Date
CBL PARTNERS, L.P., By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	09/04/2013
**Signature of Reporting Person	Date

# **Explanation of Responses:**

Chief Executive Officer

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

ESL INVESTMENTS, INC., By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title:

\*\*Signature of Reporting Person

This price represents the approximate weighted average price per share of common stock of AutoNation, Inc. (the "Issuer"), par value \$0.01 per share (each, a "Share"), of sales that were executed at prices ranging from \$46.80 to \$47.11 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

09/04/2013

Date

- This statement is jointly filed by and on behalf of each of Edward S. Lampert, ESL Partners, L.P. ("Partners"), SPE II Partners, LP ("SPE II"), SPE Master II, LP ("SPE Master II"), RBS Partners, L.P. ("RBS"), ESL Institutional Partners, L.P. ("Institutional"), RBS Investment Management, L.L.C. ("RBSIM"), CBL Partners, L.P. ("CBL") and ESL Investments, Inc. ("ESL"). Mr. Lampert, Partners, SPE II, SPE Master II, Institutional and CBL are the direct beneficial owners of the securities covered by this statement.
  - RBS is the general partner of, and may be deemed to beneficially own securities owned by, Partners, SPE II and SPE Master II. RBSIM is the general partner of, and may be deemed to beneficially own securities owned by, Institutional. ESL is the general partner of RBS,
- (3) the general partner of CBL and the manager of RBSIM. ESL may be deemed to beneficially own securities owned by RBS, CBL and RBSIM. Mr. Lampert is the Chairman, Chief Executive Officer and Director of, and may be deemed to beneficially own securities owned by, ESL.
- The reporting persons state that neither the filing of this statement nor anything herein shall be deemed an admission that such persons are, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act") or otherwise, the beneficial owners of any securities covered by this statement. The reporting persons disclaim beneficial ownership of the securities covered by this statement, except to the extent of the pecuniary interest of such persons in such securities.
- The reporting persons may be deemed to be a member of a group with respect to the Issuer or securities of the Issuer for purposes of Section 13(d) or 13(g) of the Exchange Act. The reporting persons declare that neither the filing of this statement nor anything herein shall be construed as an admission that such persons are, for the purposes of Section 13(d) or 13(g) of the Exchange Act or any other purpose, a member of a group with respect to the Issuer or securities of the Issuer.
- (6) Represents shares directly beneficially owned by SPE Master II.

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- (7) Represents shares directly beneficially owned by SPE II.
- (8) Represents shares directly beneficially owned by CBL.
- (9) Represents shares directly beneficially owned by Institutional.
- This price represents the approximate weighted average price per Share of sales that were executed at prices ranging from \$47.00 to \$47.44 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- This price represents the approximate weighted average price per Shares of sales that were executed at prices ranging from \$47.20 to (11) \$47.62 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- (12) Represents shares directly beneficially owned by Partners.

#### **Remarks:**

**Exhibit Index** 

Exhibit 99.1 - Joint Filer Information (filed herewith)

Exhibit 99.2 - Joint Filing Agreement (filed herewith)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.