

CASEYS GENERAL STORES INC

Form 4

October 01, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
HAYNIE KENNETH H

2. Issuer Name **and** Ticker or Trading
Symbol
CASEYS GENERAL STORES INC
[CASY]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
100 COURT AVENUE, SUITE 600
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
09/30/2013

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

DES MOINES, IA 50309

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|--------|-------------------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) Price | | | |
| Common Stock | 09/30/2013 | | S | | 4,000 | D \$ 73.48 (4) | 26,162 | D (2) | |
| Common Stock | | | | | | | 1,000 | I | trustee with voting and dispositive power |
| Common Stock (Restricted stock units) (3) | | | | | | | 2,000 | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pri Deriv Secur (Instr. |
|---|--|---|---|--------------------------------------|--|--|--------------------|---|--|-------------------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Option - right to buy ⁽¹⁾ | \$ 15.8 | | | | | 05/01/2004 | 05/01/2014 | Common Stock | 2,000 | |
| Option - right to buy ⁽¹⁾ | \$ 17.64 | | | | | 05/01/2005 | 05/01/2015 | Common Stock | 2,000 | |
| Option - right to buy ⁽¹⁾ | \$ 22.36 | | | | | 05/01/2006 | 05/01/2016 | Common Stock | 2,000 | |
| Option - right to buy ⁽¹⁾ | \$ 26.51 | | | | | 05/01/2008 | 05/01/2018 | Common Stock | 2,000 | |
| Option - right to buy ⁽¹⁾ | \$ 25.49 | | | | | 05/01/2009 | 05/01/2019 | Common Stock | 2,000 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|---|----------------------------------|
| | Director 10% Owner Officer Other |
| HAYNIE KENNETH H 100 COURT AVENUE SUITE 600 DES MOINES, IA 50309 | X |

Signatures

William J. Noth, under power of attorney dated
9/2/02

10/01/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Pursuant to terms of 2009 Stock Incentive Plan.

(2) Includes shares held individually and as trustee of family trusts, under which Mr. Haynie exercises sole voting and dispositive power and is a contingent beneficiary.

(3) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on May 1, 2014.

(4) Average sale price of indicated shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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